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SEARCH REQUEST FORM

Scientific and Technical Information Center

Requester's Full Name: F. Ryan Zeender Examiner #: 70651 Date: 5/12/03
Art Unit: 3627 Phone Number 308-8351 Serial Number: 09/435,168
Mail Box and Bldg/Room Location: PK5-7X05 Results Format Preferred (circle): PAPER DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: _____

Inventors (please provide full names): See bib sheet

Earliest Priority Filing Date: Nov. 5, 1999

For Sequence Searches Only Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

See abstract & claim for guidance:

FOCUS: ^{pays \$ for} Buyer ~~an~~ option to buy tickets to a future event (ex. playoff, round 2) where the cost of the option is calculated based on ^{or teams} the probability of the contestants₁ being in the future event.

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	Type of Search	Vendors and cost where applicable
Searcher: _____	NA Sequence (#) _____	STN _____
Searcher Phone #: _____	AA Sequence (#) _____	Dialog _____
Searcher Location: _____	Structure (#) _____	Questel/Orbit _____
Date Searcher Picked Up: _____	Bibliographic _____	Dr.Link _____
Date Completed: _____	Litigation _____	Lexis/Nexis _____
Searcher Prep & Review Time: _____	Fulltext _____	Sequence Systems _____
Clerical Prep Time: _____	Patent Family _____	WWW/Internet _____
Online Time: _____	Other _____	Other (specify) _____

Set	Items	Description
S1	126736	OPTION? ? OR TICKET?
S2	719959	EVENT? OR ACTIVIT? OR SPORT? OR GAME? ? OR PLAYOFF? OR FIN- AL? ? OR SEMIFINAL? OR QUARTERFINAL? OR COMPETITION? ?
S3	128448	PURCHAS? OR BUY??? OR SELL??? OR TRANSACT? OR SALE? ?
S4	286524	PROBAB? OR CHANCE? ? OR LIKEL? OR CERTAINTY OR UNCERTAINTY OR GUARANTEE?
S5	385492	ELIMINAT? OR QUALIF? OR DEFEAT? OR WIN OR WINNING OR WON OR WINS
S6	3979	S1(5N)S3
S7	198	S6(25N)S4
S8	56	S7(25N)S2
S9	14	S8 AND IC=G06F-017/60

? show file

File 348:EUROPEAN PATENTS 1978-2003/Apr W04

(c) 2003 European Patent Office

File 349:PCT FULLTEXT 1979-2002/UB=20030508,UT=20030501

(c) 2003 WIPO/Univentio

9/3,K/1 (Item 1 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00901263 **Image available**

METHOD AND APPARATUS FOR PROVIDING RIGHTS FOR EVENT TICKETS

PROCEDE ET APPAREIL POUR OFFRIR DES DROITS A DES BILLETS DE MANIFESTATIONS

Patent Applicant/Assignee:

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Legal Representative:

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Chicago, IL 60606, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200235322 A2-A8 20020502 (WO 0235322)

Application: WO 2001US51259 20011026 (PCT/WO US0151259)

Priority Application: US 2000243535 20001026; US 200127861 20011025

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU

CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP

KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO

RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 6012

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... the vicissitudes of uncertain factors such as injuries to players and draft choices. With a sports team, the probability of the team participating in post-season play can vary from the very improbable to the probable', however, no one can predict a team's performance with absolute certainty.

A sports team typically gives a customer who buys a seasons ticket an implicit right to purchase post-season tickets if the team makes the playoffs. The season ticket customer decides whether or not to purchase post-season tickets by a...

9/3,K/2 (Item 2 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00840967 **Image available**

INTERACTIVE FANTASY LOTTERY

LOTERIE INTERACTIVE DE TYPE JEU DE FICTION

Patent Applicant/Assignee:

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Legal Representative:
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Patent and Priority Information (Country, Number, Date):
Patent: WO 200173705 A2-A3 20011004 (WO 0173705)
Application: WO 2001US40371 20010326 (PCT/WO US0140371)
Priority Application: US 2000192064 20000324
Designated States: AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CO CR CU CZ DE
DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IN IS JP KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ
TM TR TT TZ UA UG UZ VN YU ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 5783

International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description
... content during that time would increase player satisfaction and thus
ticket sales.

Filially, known lottery **games** do not have a **game** component that
encourages a single player to play repeatedly, day after day, other than
the usual enticement of a **chance** to win money with the individual
ticket purchased. Such a component becomes important when the **game**
is played on-line using the Internet or other suitable computer network
where the player...

9/3,K/3 (Item 3 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00838920
ENTERTAINMENT EVENT TICKET PURCHASE AND EXCHANGE SYSTEM
SYSTEME D'ACHAT ET D'ECHANGE DE BILLETS POUR MANIFESTATIONS
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except: US)
Patent Applicant/Inventor:
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(Nationality), (Designated only for: US)
PATRICK Robert, 10 Ironmaster Court, Thurmont, MD 21788, US, US
(Residence), US (Nationality), (Designated only for: US)
CIANCIARUSO Benito, 8614 Indian Springs Road, Laurel, MD 20724, US, US
(Residence), US (Nationality), (Designated only for: US)
Legal Representative:
LABARRE James A (et al) (agent), Burns, Doane, Swecker & Mathis, L.L.P.,
P.O. Box 1404, Alexandria, VA 22313-1404, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200171669 A2 20010927 (WO 0171669)
Application: WO 2001US9146 20010322 (PCT/WO US0109146)

Priority Application: US 2000532896 20000322
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU
CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR
KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE
SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 17423

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... them directly at the site of the venue itself, for example immediately prior to the **event**, at a pre-established face value. For popular **events**, it is desirable to **purchase** the **tickets** ahead of time, particularly if the **event** is **likely** to be sold out or preferential seating is desired. In such a situation, it may...

...ancillary benefits arise from the ability to offer a fair value for lower-demand entertainment **events**. For instance, there is **likely** to be increased loyalty to the venue owner, or team, since the patron only paid the perceived value of the entertainment. In addition, increased **sales** from non- **ticket** revenue sources, such as parking, concessions, merchandise sales, and the like, will **likely** result from the increased attendance. These non-ticket sources of revenue are generally quite profitable...blind bids" during the pricing window so detailed pricing information about current bids for the **event** being sold will not be available to other patrons. By using a blind bid system, price gaming amongst patrons is much less **likely** to occur while the venue owner will be much more **likely** to receive the patron's best offer price, resulting in an optimal initial **selling** price for the **tickets** rather than the lowest common denominator that occurs in interactive auction approaches. Also, patrons have...system provides and facilitates a liquid and efficient market for secondary trading of tickets for **events** and venues. The liquidity for tickets will **likely** result in an additional incentive for patrons to **purchase** **tickets** to **events** and will **likely** translate into higher market value for these tickets. The secondary trading of tickets is analogous...privileges;

- entry into the venue, if a ticket is owned;
- all property rights (e.g., **guaranteed** parking, etc.) associated with the **ticket** the patron **buys** for that particular **event**.

In addition to different types of tickets, -different categories of full-time membership can be...

...account;

- entry into the venue, if a ticket is owned;
- all property rights (e.g., **guaranteed** parking, etc.) associated with the type of **ticket** the patron **buys** for that particular **event**;
- ability to select and rank personal seating preferences and then have these seating preferences considered...the patron. Venue owners will be able to earn optimal total income yield for each **event** at the time tickets are

supply/demand
*

sold. For popular, events, laws of supply and demand will likely result in a higher market value for tickets upon initial sale, resulting in the venue owner receiving this money, not a ticket broker/scalper. Increased access and liquidity of tickets for each game and season tickets will likely result in a higher ticket market value. Season ticket holders...

...to one of complete access and excitement.

Increased liquidity of tickets adds convenience to both event and season ticket holders, in that they are able to sell or assign a ticket in the future if necessary (e.g., can not attend due to other commitments, work, etc.). This additional convenience will increase the patron's likelihood of bidding for certain events and providing a fuller assessment of their perceived market value for the event. Specific patron preferences can be acknowledged through venue and third-party direct marketing efforts if...

9/3,K/4 (Item 4 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00826110 **Image available**

COMPUTER CONTROLLED EVENT TICKET AUCTIONING SYSTEM
SYSTEME DE VENTE AUX ENCHERES DE BILLETS ASSISTE PAR ORDINATEUR

Patent Applicant/Inventor:

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Legal Representative:

FOWLER Russell E II (agent), Ice Miller Donadio & Ryan, One American Square, Box 82001, Indianapolis, IN 46282, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200159649 A1 20010816 (WO 0159649)
Application: WO 2000US3136 20000207 (PCT/WO US0003136)
Priority Application: WO 2000US3136 20000207

Parent Application/Grant:

Related by Continuation to: US 97862547 19970523 (CIP)

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 10328

Main International Patent Class: G06F-017/60

Fulltext Availability:
Detailed Description

Detailed Description

... seat in the venue arranged in order of preference as predetermined by the promoter or ticket seller. This would probably be based on criteria such as distance from center stage, center court, or the finish line. In the case of a festival seating events, accessing the seating

database would not be necessary. .

The databases shown in FIG. 5, FIG...

9/3,K/5 (Item 5 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00818637 **Image available**
A SYSTEM AND METHOD FOR ELECTRONIC TICKETING
SYSTEME ET PROCEDE DE BILLETTERIE ELECTRONIQUE
Patent Applicant/Assignee:
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US, US (Residence), US (Nationality)
Inventor(s):
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KOIDIN Matthew Brian, 5 Palmer Avenue, Swampscott, MA 01907, US,
Legal Representative:
MALLIE Michael J (et al) (agent), Blakely, Sokoloff, Taylor & Zafman LLP,
7th Floor, 12400 Wilshire Boulevard, Los Angeles, CA 90025, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200152139 A1 20010719 (WO 0152139)
Application: WO 2000US32240 20001121 (PCT/WO US0032240)
Priority Application: US 2000483944 20000113
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ
DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG
SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 6153

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description
... points for a guaranteed seat may be e-mailed a confirmation several
days before the event (i.e., so that they don't need to risk an
unnecessary trip to the event location). These users may either
purchase their guaranteed ticket online or, alternatively, may be
given priority over other users to buy a ticket at the event
location on the on the day of the event (or they may transfer it to
another user as described below). This may be accomplished...

9/3,K/6 (Item 6 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00814140
A METHOD FOR A VIRTUAL TRADE FINANCIAL FRAMEWORK
PROCEDE DESTINE A UN SCHEMA FINANCIER DE COMMERCE VIRTUEL

Patent Applicant/Assignee:

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Inventor(s):

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200146846 A2 20010628 (WO 0146846)
Application: WO 2000US35429 20001222 (PCT/WO US0035429)
Priority Application: US 99470030 19991222; US 99470041 19991222; US
99470044 19991222

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES

FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD
MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ
VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 106212

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... on procedures involving the goods utilizing the network. Information
may also be displayed on current events involving the goods utilizing
the network. As an option, risk associated with the transaction may
be reduced by offering insurance.

In another embodiment of the present invention, the buyer...

9/3,K/7 (Item 7 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00785222 **Image available**

METHOD AND APPARATUS FOR CONDUCTING A LOTTERY TICKET TRANSACTION

PROCEDE ET APPAREIL POUR MENER UNE TRANSACTION AVEC UN BILLET DE LOTERIE

Patent Applicant/Assignee:

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Patent Applicant/Inventor:

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JORASCH James A, Apt. 5G, 25 Forest Street, Stamford, CT 06901, US, US
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Legal Representative:

ALLISON Scott B (et al) (agent), Walker Digital Corporation, Five High

Ridge Park, Stamford, CT 06905, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200118759 A1 20010315 (WO 0118759)
Application: WO 2000US21318 20000804 (PCT/WO US0021318)
Priority Application: US 99390430 19990907
Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ
DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ
LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG
SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 20252

International Patent Class: G06F-017/60 ...
Fulltext Availability:
Detailed Description

Detailed Description

... a winning ticket, the player can usually claim any resultant prize
where the player

1

purchased or acquired the ticket . However, the accessibility of such
games of chance can be limited. Lottery transactions, during which a
customer purchases a lottery ticket , are often performed after or
separate from a customer's primary or merchandise transaction, during...

...the added elements of time and effort required by customers in order for
customers to purchase lottery tickets may detract from potential
customers' participation in such games of chance , thereby reducing
profits of a store providing the lottery tickets for sale to
customers or a lottery organization conducting or overseeing the lottery.
When lottery organizations and...

9/3,K/8 (Item 8 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00762429 **Image available**
CONTINGENCY-BASED OPTIONS AND FUTURES FOR CONTINGENT TRAVEL ACCOMMODATIONS
OPTIONS ET OPERATIONS A TERMES REPOSANT SUR DES FAITS IMPREVUS DESTINES A
DES LOGEMENTS DE VOYAGES IMPREVUS

Patent Applicant/Inventor:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200075838 A1 20001214 (WO 0075838)
Application: WO 2000US15546 20000605 (PCT/WO US0015546)
Priority Application: US 99137310 19990603

*date not
good*

Designated States: AU CA JP KR
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
Publication Language: English
Filing Language: English
Fulltext Word Count: 17801

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description

... selected mountain a given number of days before the date of the ski trip. The **options** would allow **buyers** to **purchase** with diminished **uncertainty**, while sellers would have advance notice of potential demand. As with **sports event** options described above, the advance notice would permit planning, marketing of related goods and services... of any hurricanes in the Atlantic ocean one week before the date of departure.

The **options** would allow **buyers** to **purchase** with diminished **uncertainty**, while sellers would have advance notice of potential demand. As with **sports event** options described above, the advance notice would permit planning, marketing of related goods and services... best-of-seven series. Thus, in place of a team-round identifier, a team-round- game identifier can be introduced, permitting the buyer to purchase an option, for example, to have guaranteed tickets and/or airfare to attend a game involving the Los Angeles Lakers in the seventh game of the NBA finals. The option would be triggered based on the presence of the existence of the identified... selected mountain a given number of days before the date of the ski trip. The **options** would allow **buyers** to **purchase** with diminished **uncertainty**, while sellers would have advance notice of potential demand. As with **sports event** options described above, the advance notice would permit planning, marketing of related goods and services...

9/3,K/9 (Item 9 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00750927 **Image available**

PROMOTIONAL GAME PLAYED ONLINE

JEU OU LOTERIE AVEC UN PRIX VALIDE ET/OU REMBOURSE EN LIGNE

Patent Applicant/Inventor:

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, US (Nationality)

LEASON David, 28 Garey Drive, Chappaqua, NY 10514, US, US (Residence), US
(Nationality)

Legal Representative:

LEASON David (agent), Darby & Darby P.C., 805 Third Avenue, New York, NY
10022-7513, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200062879 A2-A3 20001026 (WO 0062879)

Application: WO 2000US11094 20000421 (PCT/WO US0011094)

Priority Application: US 99295943 19990421; US 99154346 19990917

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK
DM EE ES FI GB GD GE HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 19504

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description

... other data such as which concert, raffle, lottery the ticket is for and when the event occurred or when the ticket was purchased. In the following example, a second chance to win a I 0 benefit using a lottery ticket is described in which the...

9/3,K/10 (Item 10 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00750415 **Image available**

COMMERCE SYSTEM, METHOD AND ARTICLES UTILIZING OPTION CONTRACT TRANSACTIONS
PROCEDE, ARTICLES ET PROCEDE DE COMMERCE DANS LESQUELS DES TRANSACTIONS
CONTRACTUELLES A OPTION SONT UTILISEES

Patent Applicant/Inventor:

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Legal Representative:

HENRY Steven J (agent), Wolf, Greenfield & Sacks, P.C., 600 Atlantic
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Patent and Priority Information (Country, Number, Date):

Patent: WO 200063795 A2 20001026 (WO 0063795)

Application: WO 2000US10858 20000421 (PCT/WO US0010858)

Priority Application: US 99130581 19990421; US 99130862 19990422

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11527

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description

... system and method enable an entity to create an asset based on its expected commercial activities (probable , future commercial activities). The entity grants to a grantee a cross purchase option contract, and the grantee provides to the entity consideration having a value agreed to have...

9/3,K/11 (Item 11 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
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00579165 **Image available**

TICKET RE-ALLOCATION SYSTEM

SYSTEME SERVANT A REAFFECTER DES BILLETS DE SPECTACLE

Patent Applicant/Assignee:

HOCHFIELD Barry,
MCGUIRE David T,
BRADDOCK David,

Inventor(s):

HOCHFIELD Barry,
MCGUIRE David T,
BRADDOCK David,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200042538 A2 20000720 (WO 0042538)

Application: WO 2000GB92 20000114 (PCT/WO GB0000092)

Priority Application: GB 99676 19990114

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ
TM TR TT TZ UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM
AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL
PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 3074

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description
Claims

English Abstract

...The system (10) identifies a total number of pre-paid tickets (16) issued for an **event**, determines a number of stand-by tickets (24) from a statistical **probability** of pre-paid ticket holders (20) failing to arrive for the **event**, records **sale** of the stand-by **tickets** (24) to impromptu **purchasers** (26) who are directed to a stand-by lounge, records the arrival (30) at the **event** of each pre-paid ticket holder (20) and repeatedly determines (22) a likelihood of continued...

Detailed Description

... not appear.

In ticket allocation, it is typical to pre-allocate tickets prior to an **event** occurring. For example, season tickets are sold prior to a season of **sporting events** or the like at a stadium and advanced **sales of tickets** occur for concerts or the like. This **guarantees** the organisers a fixed revenue. However, for large capacity **events**, there is a statistical **probability** that a percentage of ticket holders will not appear for every **event**, and empty seats will exist. If sold, these empty seats could generate additional revenue, provide...

...stand-by tickets being a small fraction of said total number identified from a statistical **probability** of pre-paid ticket holders failing to arrive for the **event** ;

selling the stand-by tickets to impromptu purchasers
and directing such purchasers to a stand-by lounge;
recording arrival at the event of each pre-paid ticket
holder and repeatedly determining a likelihood of continued
non-arrival of the remaining pre-paid ticket holders based
on a history, if any, of their previous participation of
similar events ;
at a pre-determined time interval prior to
commencement of the event re-allocating at...

...stand-by tickets

being a small fraction of said total number identified from
a statistical probability of pre-paid ticket holders
failing to arrive for the event ;
means for selling the stand-by tickets to impromptu
purchasers ;
means for recording arrival at the event of each pre
paid ticket holder and repeatedly determining a likelihood
of continued non-arrival of the remaining pre-paid ticket
holders based on the history, if any, of their previous
participation of similar events ; and
means for, at a pre-determined time interval prior to
commencement of the event...

...stand-by tickets being a small

fraction of said total number identified from a statistical
probability of pre-paid ticket holders failing to arrive
for the event ;

selling the stand-by tickets to impromptu purchasers ;
recording arrival at the event of each pre-paid ticket
holder and repeatedly determining a likelihood of continued
non-arrival of remaining pre-paid ticket holders based on
the history, if any, of their previous participation of
similar events ; and
at a pre-determined time interval ...a ticket holder database 22. The
database 22
contains information on all individuals who have purchased
tickets for as many previous events as possible. The
information stored includes details such as name and
address, membership of fan clubs and events attended.

Statistical probabilities on pre-paid ticket holders
failing to arrive at an event are evaluated from real
time monitoring of seat occupancy around the event start
time together with information gathered from previous
similar events.

Using these statistical probabilities a...

Claim

... stand-by tickets being a small

fraction of said total number identified from a statistical
probability of pre-paid ticket holders failing to arrive
for the event ;

selling the stand-by tickets to impromptu purchasers
and directing such purchasers to a stand-by lounge;
recording arrival at the event of each pre-paid ticket
holder and repeatedly determining a likelihood of continued
non-arrival of the remaining pre-paid ticket holders based
on the history, if any, of their previous participation of
similar events ;

at a pre-determined time interval prior to
commencement of the event re-allocating at...

...stand-by tickets
being a small fraction of said total number identified from
a statistical **probability** of pre-paid ticket holders
failing to arrive for the **event** ;
means for **selling** the stand-by **tickets** to impromptu
purchasers ;
means for recording arrival at the **event** of each pre
paid ticket holder and repeatedly determining a **likelihood**
of continued non-arrival of the remaining pre-paid ticket
holders based on the history, if any, of their previous
participation of similar **events** ; and
means for, at a pre-determined time interval prior to
commencement of the event...

...stand-by tickets being a small
fraction of said total number identified from a statistical
probability of pre-paid ticket holders failing to arrive
for the **event** ;
selling the stand-by **tickets** to impromptu **purchasers** ;
recording arrival at the **event** of each pre-paid ticket
holder and repeatedly determining a **likelihood** of continued
non-arrival of remaining pre-paid ticket holders based on
the history, if any, of their previous participation of
similar **events** ; and
at a pre-determined time interval prior to
commencement of the event re-allocating...

...paid tickets sold
I
Ticket Holders Pre-paid ticket holders 28
Database
Information Stored
Statistical **Probabilities**
'Calculator ber of Stand-by and
Reserved Tickets Calculated 24
Stand-by Ticket tand-by **tickets** sold
Holders Database
to Impromptu **purchaser**
@F
Attendees Impromptu purchasers 26
Calculator in Stand-by lounge
Probability evaluator Ordered List
32
Read tic Show **event** to
of arriyals Improrntu
purchasers in
Stand-by lou el
Assess likelihood
of non-arrivals...

9/3,K/12 (Item 12 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2003 WIPO/Univentio. All rts. reserv.

00541100 **Image available**
TICKET REDISTRIBUTION SYSTEM

SYSTEME DE REDISTRIBUTION DE TICKETS

Patent Applicant/Assignee:

AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY ;INC,

Inventor(s):

GEBB Lucas,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200004473 A1 20000127 (WO 0004473)

Application: WO 99US13997 19990621 (PCT/WO US9913997)

Priority Application: US 98115398 19980714

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD RU

TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG

CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 6035

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... may not be able to obtain desired seat selections at reasonable prices. Often, many potential **ticket buyers** choose not to go to the **event** because of the inconvenience and **uncertainty** of the secondary market..

On the other side of the transaction, secondary market sellers are...

9/3,K/13 (Item 13 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00518053 **Image available**

AN IMPROVED PROMOTIONAL FINANCIAL TRANSACTION MACHINE METHOD

PROCEDE AUTOMATISE AMELIORE POUR TRANSACTIONS FINANCIERES PROMOTIONNELLES

Patent Applicant/Assignee:

COMPUSCAN TECHNOLOGIES INC,

BLUMBERG Barry B,

Inventor(s):

BLUMBERG Barry B,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9949405 A1 19990930

Application: WO 99US6354 19990324 (PCT/WO US9906354)

Priority Application: US 9847220 19980325

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD

RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF

CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 5108

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... Moreover, the products purchased need not be limited to phone card,

postal stamp, and entertaininent event ticket purchases , but rather can include purchases of any other such alternate media transaction products. As a result, the chance to win one of the customer rewards or enjoy any of the promotions detailed above....

9/3,K/14. (Item 14 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00419900 **Image available**
CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEMS
SYSTEMES DE GESTION D'OFFRES D'ACHAT CONDITIONNELLES
Patent Applicant/Assignee:

WALKER ASSET MANAGEMENT LIMITED PARTNERSHIP,
Inventor(s):

WALKER Jay S,
SCHNEIER Bruce,
SPARICO Thomas M,
CASE T Scott,
JORASCH James A,
VAN LUCHENE Andrew S,
TEDESCO Daniel E,
JINDAL Sanjay K,
WEIR-JONES Toby,
LECH Robert R,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9810361 A1 19980312
Application: WO 97US15492 19970904 (PCT/WO US9715492)

Priority Application: US 96707660 19960904; US 97889319 19970708

Designated States: AL AM AT AT AU AZ BA BB BG BR BY CA CH CN CU CZ CZ DE DE
DK DK EE EE ES FI FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK SL TJ TM TR
TT UA UG UZ VN YU ZW GH KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM
AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA
GN ML MR NE SN TD TG

Publication Language: English
Fulltext Word Count: 64791

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description

... method according to another preferred embodiment of the present
1 5 invention suitable for reselling event tickets includes: a
potential buyer electronically transmitting a guaranteed purchase
offer for a ticket to a central controller; the central controller
electronically making the offer available to a plurality...67.

Figures 73a-73g are flow diagrams depicting a method of submitting and
accepting a guaranteed offer to buy an event ticket over the
Internet according to one embodiment of the present invention.

Figure 74 is a...The embodiment shown in Figures 67 through 73 allows
M

a buyer to present a guaranteed purchase offer for a ticket to a
certain event , such as a hockey crame, to a number of potential
sellers. The sellers may review...

...the invention provides a method and apparatus to allow a central

controller to facilitate the purchase and sale of event tickets . Specifically, central controller 6800 receives and posts offers to purchase tickets for a particular event . Such offers are guaranteed . for example, using a line of credit on a credit card account. Central controller 6800...7394 the central controller transmits replacement ticket number 7292 and a message to the user buying the ticket indicating that his guaranteed offer has been accepted. The user buying the ticket may then print the replacement ticket number. take it to the venue and use it to gain access to the desired event . at step 7396. The cancellation of the original number and issuance of a replacement ticket...Susan Black.

In record 7172 of the offer table 7150, Sue Black has posted a guaranteed offer to buy two tickets to event ID E00I, as denoted by event ID field 7153, for \$200.00 per ticket in the first row of the first...

Set	Items	Description
S1	76381	OPTION? ? OR TICKET?
S2	744147	EVENT? OR ACTIVIT? OR SPORT? OR GAME? ? OR PLAYOFF? OR FIN- AL? ? OR SEMIFINAL? OR QUARTERFINAL? OR COMPETITION? ?
S3	95017	PURCHAS? OR BUY??? OR SELL??? OR TRANSACT? OR SALE? ?
S4	95006	PROBAB? OR CHANCE? ? OR LIKEL? OR CERTAINTY OR UNCERTAINTY OR GUARANTEE?
S5	732612	ELIMINAT? OR QUALIF? OR DEFEAT? OR WIN OR WINNING OR WON OR WINS
S6	41	S1 AND S2 AND S3 AND S4
S7	2822	S1(5N)S3
S8	156	S7(20N) (S4 OR S5)
S9	40	S8 AND S2
S10	22	(S6 OR S9) AND IC=G06F-017/60

? show file

File 344:Chinese Patents Abs Aug 1985-2003/Feb
(c) 2003 European Patent Office

File 347:JAPIO Oct 1976-2003/Jan(Updated 030506)
(c) 2003 JPO & JAPIO

File 350:Derwent WPIX 1963-2003/UD,UM &UP=200329
(c) 2003 Thomson Derwent

File 371:French Patents 1961-2002/BOPI 200209
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10/5/1 (Item 1 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2003 JPO & JAPIO. All rts. reserv.

07301661
VENDING MACHINE FOR WINNING SYSTEM BETTING TICKET AND PLACE BETTING TICKET
WITH PHOTOGRAPH OF RACER'S FACE

PUB. NO.: 2002-170141 [JP 2002170141 A]
PUBLISHED: June 14, 2002 (20020614)
INVENTOR(s): OHASHI KENJI
APPLICANT(s): OHASHI KENJI
APPL. NO.: 2000-403808 [JP 2000403808]
FILED: December 01, 2000 (20001201)
INTL CLASS: G07C-013/00; G06F-017/60

ABSTRACT

PROBLEM TO BE SOLVED: To solve problems of a winning system betting ticket and a place betting ticket, whose sales are the least among examined publicly-managed games according to bet types, by devising a plan for the win betting ticket and the place betting ticket.

SOLUTION: A photograph of a racer's face is color-printed on the ticket to be brought by a fan so that the ticket is changed into an 'area-limited racer card' valuable for the fan that is not thrown away after the end of a race but handled as a commemorative card. This contrivance can thus contribute to the reduction of wastes and provide a new meaning thereto. To independently add a value as the commemorative card, an original title of the area such as 'certificate of sold in a stadium' etc., is printed thereon so as to enhance its role as the commemorative card.

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10/5/2 (Item 2 from file: 347)
DIALOG(R)File 347:JAPIO
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07206009 **Image available**
SELECTOR AND METHOD FOR SELECTING WINNING NUMBER FOR NUMBER-SELECTION TYPE
LOTTERY AND RECORDING MEDIUM

PUB. NO.: 2002-074441 [JP 2002074441 A]
PUBLISHED: March 15, 2002 (20020315)
INVENTOR(s): TANIHATA MISAKI
APPLICANT(s): TOWN DREAM KK
APPL. NO.: 2000-263530 [JP 2000263530]
FILED: August 31, 2000 (20000831)
INTL CLASS: G07C-015/00; A63F-003/08; G06F-017/60

ABSTRACT

PROBLEM TO BE SOLVED: To provide a winning number selector, with which the will determination of a ticket purchaser is supported by easily predicting the winning number of a winning ticket, when purchasing the ticket of number-selection type lottery, as an innovative amusement.

SOLUTION: This winning number selector for number-selection type lottery is provided with an operation input part, a random number generating part for generating a random number in accordance with the operation of the operation input part; a display part for displaying the random number

generated by the random number generating means on a display screen; a first control part for accepting a first operation entry inputted by the operation input part, starting a **game** and displaying one or plural generated first random numbers on the display screen by the display part; a second control part for successively generating plural second random numbers by the random number generating part, applying them to the display part and changing the display contents in the order from the last random number to the new random number, and; a third control part for accepting a second operation entry, selecting the second random number, which is displayed, when this second operation entry is accepted, as a predictive winning number and displaying this selected number on the display part.

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10/5/3 (Item 3 from file: 347)
DIALOG(R)File 347:JAPIO
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07015659 **Image available**
SYSTEM AND METHOD FOR RESERVING AND **SELLING** INDETERMINATE **TICKET**

PUB. NO.: 2001-243288 [JP 2001243288 A]
PUBLISHED: September 07, 2001 (20010907)
INVENTOR(s): HAYAKAWA TORU
KIYOTA KENJI
APPLICANT(s): KIIYOTA KENJI
APPL. NO.: 2000-052592 [JP 200052592]
FILED: February 28, 2000 (20000228)
INTL CLASS: **G06F-017/60** ; G06F-019/00; G07B-001/00

ABSTRACT

PROBLEM TO BE SOLVED: To previously reserve and **sell** an indeterminate **ticket** with **probability** for a baseball **game** in the future by an easy method on a condition which is satisfied by the both of a **ticket seller** and a person who wants to **purchase** the **ticket** .

SOLUTION: A **seller** terminal 2 uploads the reservation **sales** home page of the indeterminate **ticket** for the Japan series, which is to be issued in the future by the sponsor 4, on a network server 1 and the person who wants to **purchase** the **ticket** obtains **sales** information by connection to the home page by a **purchaser** terminal 3. When he or she is satisfied with the condition appeared on the home page, a **purchase** price, etc., is designated and **purchase** information is transmitted to the **seller** terminal 2. The **seller** terminal 2 transmits **purchase** information to a financial main frame 5 and mediates the payment and reception of a **purchase** price or compensation money.

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10/5/4 (Item 4 from file: 347)
DIALOG(R)File 347:JAPIO
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06563138 **Image available**
ELECTRONIC METHOD FOR **SELLING** RIGHT BY SUBSCRIPTION AND PROGRAM RECORDING MEDIUM

PUB. NO.: 2000-148881 [JP 2000148881 A]

PUBLISHED: May 30, 2000 (20000530)
INVENTOR(s): CHIBA TSUNEYUKI
APPLICANT(s): NIPPON TELEGR & TELEPH CORP (NTT)
APPL. NO.: 10-317081 [JP 98317081]
FILED: November 09, 1998 (19981109)
INTL CLASS: G06F-019/00; **G06F-017/60** ; G06K-019/00

ABSTRACT

PROBLEM TO BE SOLVED: To avoid the overcrowding of a network by evenly giving booking **chances** to those who wish to **purchase** the **tickets** of an **event** .

SOLUTION: A user actuates an information terminal 100, inserts his IC card into the terminal 100, and actuates a **ticket** booking AP (S3). Then the user selects a desired **ticket** from the **event** information down-loaded from a **ticket** center device 120 and sends the ID information of the selected **ticket** , the user ID information of the user, and the booking time (present time) to the center device 120. The device 120 collects the names of those who booked the **tickets** of an **event** before a designated time limit and randomly selects **ticket** winners.

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10/5/5 (Item 5 from file: 347)
DIALOG(R)File 347:JAPIO
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06471635 **Image available**
MULTI-PLACE **TICKET** ISSUE USING SMART CARD

PUB. NO.: 2000-057210 [JP 2000057210 A]
PUBLISHED: February 25, 2000 (20000225)
INVENTOR(s): GOLDSTEIN THEODORE CHARLES
ZIEGLER JONATHAN B
APPLICANT(s): SUN MICROSYST INC
APPL. NO.: 11-180905 [JP 99180905]
FILED: June 25, 1999 (19990625)
PRIORITY: 106600 [US 98106600], US (United States of America), June 29,
1998 (19980629)
INTL CLASS: **G06F-017/60** ; G09C-001/00

ABSTRACT

PROBLEM TO BE SOLVED: To store an electronic **ticket** to **events** provided at plural places on a single electronic device (smart card, portable computer, etc.), by allowing an electronic device to receive and store a place module that is related to each place where **tickets** are **purchased** .

SOLUTION: An applet loader 102 loads one or more applets onto a smart card 100. An applet to be loaded enables the card 100 to store a **ticket** to a place that is related to the loaded applet. A **ticket** loader 104 loads an electronic **ticket** to respective **events** onto the card 100. A **ticket** confirmation device 106 is located at a place where an **event** is held and a **ticket** to the **event** is stored in the card 100. The confirmation device 106 confirms the **ticket** so as to **guarantee** that the **ticket** is for the current **event** and receives the **ticket** based on this confirmation.

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10/5/6 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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015195614 **Image available**
WPI Acc No: 2003-256150/200325
XRPX Acc No: N03-203295

Technology transition options development method for legacy transactional enterprise, involves developing risk factors for identified components, unmet opportunities and potential components of enterprise

Patent Assignee: ABRAHAMS A M (ABRA-I); COMAS A (COMA-I); IBIKUNLE O (IBIK-I); PAN Y (PANY-I); SALTZ J S (SALT-I); STARKS S M (STAR-I)
Inventor: ABRAHAMS A M; COMAS A; IBIKUNLE O; PAN Y; SALTZ J S; STARKS S M
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030018573	A1	20030123	US 2001894476	A	20010628	200325 B

Priority Applications (No Type Date): US 2001894476 A 20010628

Patent Details:

Patent No	Kind	Lang	Pg	Main IPC	Filing Notes
US 20030018573	A1		16	G06F-017/60	

Abstract (Basic): US 20030018573 A1

NOVELTY - The transition options are developed based on the specific risk factors developed for the identified hardware or software components of the legacy enterprise, risk factors for unmet opportunities such as new software, hardware or business methods, and the risk factors for potential components including conceptual models of undeveloped capabilities.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

- (1) computer executable software code for development of transition options ;
- (2) computer readable medium storing computer executable software code; and
- (3) programmed computer for development of transition options .

USE - For characterizing and selecting technology transition options , developing migration options , analyzing portfolio investments and for managing business technology investments in legacy transaction enterprise.

ADVANTAGE - Allows greater understanding and insight into the choices regarding technology holdings, both current and future, and management of risks of current technology portfolio. Provides general guide for decision making under conditions of technology uncertainty . Enables to analyze quantitatively the cost of hedging particular technologies. Helps project managers to understand the risk for large investment while dealing with shifts in technology due to change in business events .

DESCRIPTION OF DRAWING(S) - The figure shows a flowchart of the technology transition options development method.

pp; 16 DwgNo 2/8

Title Terms: TECHNOLOGY; TRANSITION; OPTION ; DEVELOP; METHOD; DEVELOP; RISK; FACTOR; IDENTIFY; COMPONENT; POTENTIAL; COMPONENT

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/7 (Item 2 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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015193268 **Image available**
WPI Acc No: 2003-253802/200325

System for determining free gift lottery winner by probability based on electronic commerce

Patent Assignee: CHO S D (CHOS-I)

Inventor: CHO S D

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2002078022	A	20021018	KR 200117835	A	20010404	200325 B

Priority Applications (No Type Date): KR 200117835 A 20010404

Patent Details:

Patent No.	Kind	Lan	Pg	Main IPC	Filing Notes
KR 2002078022	A		1	G06F-017/60	

Abstract (Basic): KR 2002078022 A

NOVELTY - A free gift lottery winner determining system is provided to give a user a free gift lottery ticket on which a discount amount is printed when the user purchases commodities at an electronic commerce site, to determine a lottery winner who is qualified for receiving a free gift and to discount a price of a commodity purchased at the electronic commerce site as much as the printed amount when the ticket holder uses the lottery ticket as a payment tool.

DETAILED DESCRIPTION - The method comprises steps of a server storing commodity information at a database for an electronic commerce service, constructing a free gift lottery determining module, and starting an online service(S100), the server offering an electronic commerce service for enabling an accessing user to purchase a commodity, giving the purchaser an electronic free gift lottery ticket on which a discount amount according to a purchase amount is printed, and accumulating the discount amount(S200), and the server enabling the user to pay for a commodity or to exchange commodities within a range of accumulated discount amount or drawing a lottery by using the free gift lottery ticket input by the user and notifying the user of a lottery result(S300). The free gift lottery determining module can be based on a lottery draw, an average value guess, a quiz, a sports betting or a stock investment.

pp; 1 DwgNo 1/10

Title Terms: SYSTEM; DETERMINE; FREE; GIFT; LOTS; WINNING; PROBABILITY ;
BASED; ELECTRONIC

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/8 (Item 3 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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015166466 **Image available**
WPI Acc No: 2003-226994/200322

Method for supplying lottery ticket service, system and card/card reader being used in the same

Patent Assignee: CHOI J B (CHOI-I)

Inventor: CHOI J B

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2002087038	A	20021121	KR 200262690	A	20021015	200322 B

Priority Applications (No Type Date): KR 200262690 A 20021015

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2002087038	A	1	G06F-017/60	

Abstract (Basic): KR 2002087038 A

NOVELTY - A method for supplying a lottery ticket service is provided to use a lottery ticket service using a card which is used at purchasing a commodity or using a service for reducing a distribution margin cost of a lottery ticket businessman and enabling a lottery ticket buyer to buy a lottery ticket easily.

DETAILED DESCRIPTION - In a method for supplying a lottery ticket service using a card capable of inputting a lottery ticket purchase possibility or not, the card is read(301). It is checked whether a lottery ticket may be purchased using the card(302). If a lottery ticket may be purchased using the card, a lottery ticket service charge is levied(303). A levy detail data of the levied charge are stored in a database(305). A prize winning basic amount of money is calculated according to rankings through a lottery(307). The final prize winning amount in proportion to the basic amount of money and the purchase number of lottery tickets is calculated(307). The final prize winning amount of money is supplied for a prizewinner(308).

pp; 1 DwgNo 1/10

Title Terms: METHOD; SUPPLY; LOTS; TICKET; SERVICE; SYSTEM; CARD; CARD; READ

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/9 (Item 4 from file: 350)

DIALOG(R) File 350:Derwent WPIX

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015157280 **Image available**

WPI Acc No: 2003-217807/200321

Method for selling lottery ticket through Internet

Patent Assignee: PACMAN ENTERTAINMENT (PACM-N)

Inventor: CHOI Y R

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2002083380	A	20021102	KR 200123047	A	20010427	200321 B

Priority Applications (No Type Date): KR 200123047 A 20010427

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2002083380	A	1	G06F-017/60	

Abstract (Basic): KR 2002083380 A

NOVELTY - A method for selling a lottery ticket through the Internet is provided to reduce a purchase expense of a lottery ticket buyer and enable an operator to increase members.

DETAILED DESCRIPTION - If a lottery ticket buyer connects to a host computer, an authentication server requests identification and a

password and performs a user authentication(200,201). An application program interlocked with the Internet through a web server is operated. It is checked whether a user requests a lottery ticket purchase(210). It is judged whether a lottery ticket can be purchased by a collected point(220). If the collected point is sufficient, a **game** is created and the lottery ticket **buyer purchases** a lottery ticket (230). If the lottery ticket is drawn, the prize winning is informed to the buyer(241). Credit card application information is received from the buyer. The **final** prize money is set according to the number of card companies and a prize **winning** money and informed to the buyer. The buyer pays a price for **purchasing** a lottery ticket . If the price is paid, a paying server transfers corresponding prize **winning** money to a prizewinner.

pp; 1 DwgNo 1/10

Title Terms: METHOD; SELL; LOTS; TICKET; THROUGH

Derwent Class: T01; T05; W01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/10 (Item 5 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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015076299 **Image available**

WPI Acc No: 2003-136817/200313

Method for selecting tv game show participant by using instant lottery over internet

Patent Assignee: LOTTONET CO LTD (LOTT-N)

Inventor: NAM G T

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2002065997	A	20020814	KR 20016151	A	20010208	200313 B

Priority Applications (No Type Date): KR 20016151 A 20010208

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2002065997	A	1	G06F-017/60	

Abstract (Basic): KR 2002065997 A

NOVELTY - A TV **game** show participant selection method is provided to **sell** an instant lottery **ticket** for giving a **ticket purchaser** a **chance** to participate in a TV **game** show, to enable the **ticket purchaser** to register the **ticket** at a service site over the internet, and to determine a participant via a computer lottery.

DETAILED DESCRIPTION - The method comprises steps of a service operator producing instant lottery **tickets** including a check number(S101), the service operator storing the check number at a lottery **ticket** table in a TV **game** show participant selection system(S102), the service operator coating and **selling** the lottery **ticket** (S103), a **purchaser** **purchasing** the lottery ticket and checking if **winning** a prize money and the first **qualification** for participating in the TV **game** show(S104), if the **purchaser** wins the first qualification for participating in the TV **game** show, the **purchaser** accessing and logging in the TV **game** show participant selection system(S105), the **purchaser** inputting the check number at a registration screen(S106), the participant selection system performing a computer lottery for selecting the **final** qualified participants among the first qualified **purchasers** (S107), the participant selection

system offering a list of the selected final qualified participants over a web site(S108), and the service operator selecting the final winner via the TV game show and giving the final winner a prize.
pp; 1 DwgNo 1/10

Title Terms: METHOD; SELECT; TELEVISION; GAME ; SHOW; PARTICIPATING;
INSTANT; LOTS
Derwent Class: T01; W04
International Patent Class (Main): G06F-017/60
File Segment: EPI

10/5/11 (Item 6 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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014919873 **Image available**
WPI Acc No: 2002-740580/200280
XRPX Acc No: N02-583534

Network-based seat ticket auction method involves determining successful bidder based on tender data input to tender screen including seating table associated with tendering conditions of seats

Patent Assignee: IBM CORP (IBMC); INT BUSINESS MACHINES CORP (IBMC)
Inventor: SAKAI H

Number of Countries: 002 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020138405	A1	20020926	US 2002105133	A	20020321	200280 B
JP 2002279227	A	20020927	JP 200180584	A	20010321	200280

Priority Applications (No Type Date): JP 200180584 A 20010321

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20020138405	A1		14	G06F-017/60	
JP 2002279227	A		11	G06F-017/60	

Abstract (Basic): US 20020138405 A1

NOVELTY - A data of a tender screen including a seating table associated with tendering conditions of seats, is received in response to a request for seat ticket received from a purchase applicant. A successful bidder is determined based on tender data input to the tender screen.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

- (1) Internet-based seat ticket auction system; and
- (2) Computer program product for performing seat ticket auction.

USE - For performing auction for reserved seat ticket for exhibition, concert, play and movie. Also, applicable to purchase of flight ticket, reserved seat ticket for train such as Shin-kansen and for seat in restaurant or room in hotel using computer networks such as Internet and intranet.

ADVANTAGE - Prevents illegal activities such as ticket scalping and for returning a fair profit to the correct persons. Promoters and ticket vendors realizes maximum profits, as the prices of the reserved seat tickets that are sold reflects the differing needs to the individual users. Eliminates the need for ticket purchasers to queue up at a counter for long time and to make respective calls to obtain a reservation.

DESCRIPTION OF DRAWING(S) - The figure shows the block diagram of the seat ticket auction system.

pp; 14 DwgNo 1/6

Title Terms: NETWORK; BASED; SEAT; TICKET; AUCTION; METHOD; DETERMINE;
SUCCESS; BASED; TENDER; DATA; INPUT; TENDER; SCREEN; SEAT; TABLE;
ASSOCIATE; CONDITION; SEAT
Derwent Class: T01; T05
International Patent Class (Main): G06F-017/60
File Segment: EPI

10/5/12 (Item 7 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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014896318 **Image available**
WPI Acc No: 2002-717024/200278
XRPX Acc No: N02-565774

Electronic money card system for use with horse race, has reimbursement
machine that performs transfer of reimbursement money between user and
sponsor bank, when user buys game betting ticket
Patent Assignee: HITACHI LTD (HITA)
Number of Countries: 001 Number of Patents: 001
Patent Family:
Patent No Kind Date Applicat No Kind Date Week
JP 2002259862 A 20020913 JP 200161510 A 20010306 200278 B

Priority Applications (No Type Date): JP 200161510 A 20010306
Patent Details:
Patent No Kind Lan Pg Main IPC Filing Notes
JP 2002259862 A 22 G06F-017/60

Abstract (Basic): JP 2002259862 A

NOVELTY - Information of game user account in a user contract
bank, and information about account of game sponsor in a sponsor
contract bank are recorded on a electronic money card. When a user
purchases a game betting ticket, a reimbursement machine (7) performs
transfer of reimbursement money between the user and sponsor contract
bank systems (8,11). The transaction details are recorded in the card.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the
following:

- (1) Ticket issuing machine;
- (2) Electronic money exchanger; and
- (3) Electronic money card

USE - Electronic money card system for public games such as horse
race, motorboat race.

ADVANTAGE - Eliminates the need to pay in cash for purchasing
betting tickets , by using electronic money card and allows for quick
and simultaneous payments.

DESCRIPTION OF DRAWING(S) - The figure shows the profile of the
electronic money card system. (Drawing includes non-English language
text).

Reimbursement machine (7)
User contract bank system (8)
Sponsor contract bank system (11)
pp; 22 DwgNo 1/23

Title Terms: ELECTRONIC; MONEY; CARD; SYSTEM; HORSE; RACE; MACHINE;
PERFORMANCE; TRANSFER; MONEY; USER; BANK; USER; BUY; GAME ; BET; TICKET
Derwent Class: T01
International Patent Class (Main): G06F-017/60
File Segment: EPI

10/5/13 (Item 8 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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014806369 **Image available**
WPI Acc No: 2002-627075/200267
XRPX Acc No: N02-495982

Object authenticity guaranteeing method e.g. for smart card involves
recording coded version of number associated with provided random sample,
which is obtained by public key encryption, into area in object

Patent Assignee: INT BUSINESS MACHINES CORP (IBMC)
Inventor: MARTENS M; MENGIN C; SACHAR H E; TRESSER C P
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020095383	A1	20020718	US 99398029	A	19990917	200267 B
			US 200298453	A	20020318	

Priority Applications (No Type Date): US 99398029 A 19990917; US 200298453
A 20020318

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 20020095383	A1	11	G06F-017/60	Div ex application US 99398029

Abstract (Basic): US 20020095383 A1

NOVELTY - A random sample, of material which is obtained by
chemical and physical processes and is not reproducible, is provided. A
coded version, of number which is associated with the sample, is
obtained by public key encryption and is recorded into an area in the
object.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the
following:

- (1) Remote printing method of object;
- (2) Unauthorized duplication prevention system; and
- (3) Recorded medium storing remote printing program.

USE - For **guaranteeing** authenticity of objects such as theater
ticket, land, sea or air transportation **ticket**, **sporting event**
tickets, smart card used for electronic commerce applications.

ADVANTAGE - Reliability of and confidence in electronic commerce
and **sales of tickets** are increased and counterfeiting of **tickets**
can be prevented.

DESCRIPTION OF DRAWING(S) - The figure shows the flowchart
explaining **ticket** processing method.

pp; 11 DwgNo 2B/4

Title Terms: OBJECT; AUTHENTICITY; **GUARANTEE**; METHOD; SMART; CARD; RECORD
; CODE; VERSION; NUMBER; ASSOCIATE; RANDOM; SAMPLE; OBTAIN; PUBLIC; KEY;
ENCRYPTION; AREA; OBJECT

Derwent Class: T01; T05

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

10/5/14 (Item 9 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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014804587 **Image available**
WPI Acc No: 2002-625293/200267

Method for managing fortune ticket
Patent Assignee: HIHOME CO LTD (HIHO-N)

Inventor: CHOI J H; PARK Y J

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2002026335	A	20020409	KR 200213827	A	20020314	200267 B

Priority Applications (No Type Date): KR 20027736 A 20020209

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2002026335	A	1	G06F-017/60	

Abstract (Basic): KR 2002026335 A

NOVELTY - A method for managing a fortune ticket is provided to improve the purchase will of users by constructing module environment for providing a lottery opportunity one more time to the users for previously confirming the prize winning of the fortune ticket, and guiding the users for purchasing the fortune ticket to a new lottery.

DETAILED DESCRIPTION - A fortune ticket lottery management server checks the first interface module, and judges whether a lottery participation event is generated(S2). If the lottery participation event is generated from the client, the fortune ticket lottery management server changes lottery information previously stored in a lottery information DB and registers a fortune ticket(S3). The fortune ticket lottery management server extracts web operation information, generates a prize winning confirmation procedure guide page using the extracted web operation information, and transmits the generated prize winning confirmation procedure guide page to the client through the first interface module(S4). The fortune ticket lottery management server checks the first interface module(S5). If the lottery object fortune ticket winning confirmation event is generated, the fortune ticket lottery management server retrieves lottery progress information stored in the lottery information DB(S6). If the lottery process is completed(S7), the fortune ticket lottery management server extracts the lottery winning result previously stored in the lottery information DB(S9,S10). If the fortune ticket of the user is won, the fortune ticket lottery management server updates winning information using the lottery information management module so that the user receives suitable premiums or goods(S12).

pp; 1 DwgNo 1/10

Title Terms: METHOD; MANAGE; FORTUNE; TICKET

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/15 (Item 10 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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014753014 **Image available**

WPI Acc No: 2002-573718/200261

XRPX Acc No: N02-454614

Ticket provision online reservations, involves converting information related to ticket requisition according to communication protocol between server and portable terminal is checked based on ticket request reception from terminal

Patent Assignee: SAMSUNG ELECTRONICS CO LTD (SMSU); AHN S (AHNS-I); LEE Y (LEEY-I); NA C (NACC-I)

Inventor: AHN S J; LEE Y H; RA C S; AHN S; LEE Y; NA C

Number of Countries: 004 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020065783	A1	20020530	US 2001808030	A	20010315	200261 B
JP 2002170005	A	20020614	JP 2001157801	A	20010525	200261
KR 2002042028	A	20020605	KR 200071716	A	20001129	200277
CN 1361492	A	20020731	CN 2001104963	A	20010226	200279

Priority Applications (No Type Date): KR 200071716 A 20001129

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20020065783	A1		13	G06F-017/60	
JP 2002170005	A		9	G06F-017/60	
KR 2002042028	A			G06F-017/60	
CN 1361492	A			G06F-017/60	

Abstract (Basic): US 20020065783 A1

NOVELTY - A request for issuing a predetermined ticket is received from portable terminal (110). A communication protocol between the server (130) and the terminal is checked based on the request reception. The information related to the ticket requisition is converted according to the checked communication protocol and transmitted to the terminal.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

- (1) Ticket using method; and
- (2) Ticket providing system.

USE - For online reservations of various **events** and hotels, airlines, railways, buses and amusement parks by issuing electronic tickets through cellular phone/PDA, handheld PC, notebook PC.

ADVANTAGE - Prevents the loss of tickets for accessing **events** or facilities and saves resources by **eliminating** the need to use a ticket in a printed form, and avoids the need of employees for **selling** or examining **tickets**, thereby reducing personal expenses.

DESCRIPTION OF DRAWING(S) - The figure shows a functional block diagram of the ticket providing system.

Portable terminal (110)

Server (130)

pp; 13 DwgNo 1/7

Title Terms: TICKET; PROVISION; RESERVE; CONVERT; INFORMATION; RELATED; TICKET; ACCORD; COMMUNICATE; PROTOCOL; SERVE; PORTABLE; TERMINAL; CHECK; BASED; TICKET; REQUEST; RECEPTION; TERMINAL

Derwent Class: T01; W01

International Patent Class (Main): G06F-017/60

International Patent Class (Additional): G06F-013/14; G07B-001/00; G07B-015/00

File Segment: EPI

10/5/16 (Item 11 from file: 350)

DIALOG(R) File 350:Derwent WPIX

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014469706 **Image available**

WPI Acc No: 2002-290409/200233

Method for selling lottery through internet

Patent Assignee: HOPE & FUN CO LTD (HOPE-N)

Inventor: NOH B G

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
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KR 2001104492 A 20011126 KR 200023503 A 20000502 200233 B

Priority Applications (No Type Date): KR 200023503 A 20000502

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
KR 2001104492 A 1 G06F-017/60

Abstract (Basic): KR 2001104492 A

NOVELTY - A method for selling a lottery through the Internet is provided to increase a possibility of prize winning, and enable a user to enjoy winning in a lottery.

DETAILED DESCRIPTION - A predetermined number of lottery tickets are sold by the bundle. The lottery tickets are sold to groups by the bundle. A user who wants to buy lottery tickets through Internet subscribes to a group on Internet when he/she buys the lottery ticket. Winnings are divide by groups. The winnings are equally distributed to members of the group, or are given in a lump to one person who becomes a winner in a game . One user can buy one lottery ticket , thereby decreasing financial burden and also decreasing gambling spirit. A possibility of winning in the lottery is increased as large amount of winnings is equally distributed to all the members, thereby increasing the sales performance of the lottery tickets . In case of small amount of winnings, the winnings are given in a lump to one person of the group through a game , thereby increasing interest and tension.

pp; 1 DwgNo 1/10

Title Terms: METHOD; SELL; LOTS; THROUGH

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/17 (Item 12 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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014443418 **Image available**

WPI Acc No: 2002-264121/200231

XRFX Acc No: N02-205331

Cyber ticket reservation system for various events , has event server for displaying stored telephone number and accessing portable telephone, when reservation person enters event hall

Patent Assignee: MITSUBISHI ELECTRIC CORP (MITQ)

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2002063305	A	20020228	JP 2000248480	A	20000818	200231 B

Priority Applications (No Type Date): JP 2000248480 A 20000818

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
JP 2002063305 A 16 G06F-017/60

Abstract (Basic): JP 2002063305 A

NOVELTY - Event server (2) stores the telephone number of the mobile telephone (1) used for reserving the cyber ticket of an event . Event server displays the stored telephone number and accesses the mobile telephone, when the reservation person enters the event hall (5).

USE - Cyber ticket reservation system for various events such as sports such as baseball and soccer, concert, movie, exhibition and

lecture meeting.

ADVANTAGE - Eliminates the trouble in sending the ticket to the user and eliminates the problem generated when the ticket is lost. Enables selling the cyber ticket left by the person in the middle of the event at a cheap price and simplifies the distribution of cyber tickets.

DESCRIPTION OF DRAWING(S) - The figure shows the components of the cyber ticket reservation system. (Drawing includes non-English language text).

Mobile telephone (1)

Event server (2)

Event hall (5)

pp; 16 DwgNo 1/10

Title Terms: TICKET; RESERVE; SYSTEM; VARIOUS; EVENT ; EVENT ; SERVE;
DISPLAY; STORAGE; TELEPHONE; NUMBER; ACCESS; PORTABLE; TELEPHONE; RESERVE
; PERSON; ENTER; EVENT ; HALL

Derwent Class: T01; W01

International Patent Class (Main): G06F-017/60

International Patent Class (Additional): H04M-003/42; H04M-011/00

File Segment: EPI

10/5/18 (Item 13 from file: 350)

DIALOG(R) File 350:Derwent WPIX

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014325483 **Image available**

WPI Acc No: 2002-146185/200219

Method for selling beverage exchange ticket with lottery function

Patent Assignee: CHUNG Y M (CHUN-I)

Inventor: CHUNG Y M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2001085008	A	20010907	KR 200142245	A	20010713	200219 B

Priority Applications (No Type Date): KR 200142245 A 20010713

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2001085008	A	1	G06F-017/60	

Abstract (Basic): KR 2001085008 A

NOVELTY - A beverage exchange ticket selling method is provided to sell the beverage exchange ticket at a low price, to deliver the beverage at a designated place in the case that a user purchases the beverage at an online shopping mall, and to offer a chance to participate in a lottery event by using a number printed on the exchange ticket .

DETAILED DESCRIPTION - The method comprises the steps of a user accessing a service web site over the Internet(S110), the user logging in the service web site by inputting an ID and a password(S120), the user subscribing for a member of the service site in the case that the user is not a member(S130), the service site offering information on a beverage exchange ticket (S140), the user purchasing wanted beverage exchange tickets0 by using various payment tools, e.g. a credit card, an online deposit, an electronic money or an e-coin(S150), the user inputting a ticket delivery destination, and the service site delivering the ticket at the designated destination(S160), a ticket receiver erasing a cover from a serial number part and checking the serial number(S170), the ticket receiver inputting the serial number

at the service site and checking if the **ticket** receiver wins a prize in a lottery(S180), the **ticket** receiver exchanging beverages with the **ticket** at a member store(S190), the **ticket** receiver checking a remainder in the **ticket** and receiving a remainder receipt from the member store(S200,S210).

pp; 1 DwgNo 1/10

Title Terms: METHOD; **SELL** ; BEVERAGE; EXCHANGE; **TICKET** ; LOTS; FUNCTION
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

10/5/19 (Item 14 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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014203023 **Image available**

WPI Acc No: 2002-023720/200203

Method for drawing lot on loosed lottery ticket over online network

Patent Assignee: SCG CO LTD (SCGS-N)

Inventor: JUNG J H; JUNG Y S; KIM E C

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2001067000	A	20010712	KR 200067048	A	20001113	200203 B

Priority Applications (No Type Date): KR 200067048 A 20001113

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
KR 2001067000	A		1	G06F-017/60	

Abstract (Basic): KR 2001067000 A

NOVELTY - An online lottery drawing method is provided to draw lots on loosed lottery **tickets** by using the unique serial **ticket** number over a 700 telephone service or the Internet so that it enables a lottery **event** organizer to **sell** the lottery without issuing new lottery **tickets** , and enables a lottery **buyer** to catch another **chance** of taking a present or a prize with loosed lottery **ticket** .

DETAILED DESCRIPTION - The method comprises steps of a user accessing a service site over the Internet or via a PC communication service or an ARS(201), the user selecting one between checking an original lottery **ticket** drawing result and checking a loosed lottery **ticket** drawing result(212), the user inputting an **event** name, an issue number and a serial number or code of the lottery **ticket** if the user selects a menu for checking the original lottery **ticket** drawing result(213), the user receiving the winning contents via an image or voice(217), the user determining if the user participates in a loosed lottery **ticket** drawing **event** (215), the user registering the lottery **ticket** number or code at a loosed **ticket** database(216), the user inputting serial number or code on the loosed lottery **ticket** if the user selects a menu for checking the loosed lottery **ticket** drawing result(223), and then the user checking the winning or loosing result via an image or voice(224).

pp; 1 DwgNo 1/10

Title Terms: METHOD; DRAW; LOT; LOOSE; LOTS; **TICKET** ; NETWORK
Derwent Class: T01
International Patent Class (Main): **G06F-017/60**
File Segment: EPI

10/5/20 (Item 15 from file: 350)
DIALOG(R) File 350:Derwent WPIX
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013653975 **Image available**
WPI Acc No: 2001-138187/200114
Related WPI Acc No: 2002-164457
XRPX Acc No: N01-100604

Purchasing and admittance provisioning method for event customer to
entertainment event , e.g. sporting event , movie, involves admitting
event customer to point of sale system after verification of order

Patent Assignee: ZEBRAPASS INC (ZEBR-N)

Inventor: KLEAR J; STEREN M

Number of Countries: 091 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200103040	A1	20010111	WO 2000US18371	A	20000703	200114 B
AU 200060692	A	20010122	AU 200060692	A	20000703	200125
EP 1208504	A1	20020529	EP 2000947019	A	20000703	200243
			WO 2000US18371	A	20000703	

Priority Applications (No Type Date): US 2000215878 P 20000630; US 99142063
P 19990702; US 99150754 P 19990826

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200103040 A1 E 129 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY CA CH
CN CR CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD
SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200060692 A G06F-017/60 Based on patent WO 200103040

EP 1208504 A1 E G06F-017/60 Based on patent WO 200103040

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT
LI LT LU LV MC MK NL PT RO SE SI

Abstract (Basic): WO 200103040 A1

NOVELTY - An account associated with an identifier is updated to
reflect an order. The account is accessed by utilizing the identifier
stored in an identification device at a point of sale system upon
presentation of identification device to verify the order. An event
customer is admitted at the point of sale system after verification of
the order.

DETAILED DESCRIPTION - A communication including the order to
obtain admittance to an entertainment event and the identifier
associated with the identification device is received from the event
customer. INDEPENDENT CLAIMS are also included for the following:

- (a) a purchasing and provision method for item utilizing server
linked to client via data communication network;
- (b) a system for purchasing and provisioning item;
- (c) a system for provisioning purchase;
- (d) a computer program product;
- (e) an identification device for use in provisioning item;
- (f) and a method for provisioning purchase utilizing data
communication network.

USE - For event customer to entertainment event , e.g. sporting
event , movie, theater event , reserved-seating vent, open-seating
event via data communication network.

ADVANTAGE - Enables easy purchasing of event tickets on-line via

data communication network. Increases sales revenue and profit. Improves customer retention, satisfaction, and loyalty. Reduces costs incurred via printing fewer tickets at box office. Reduces number of employees at the box office as a result of increased smart card usage. Eliminates need for kiosk machines. Increases efficiency at concession stand. Improves safety due to reduction in amount of cash handled at the theaters. **Eliminates fraudulent activity**. Improves sales of **tickets**. Improves demographic information to improve box office gross. Shortens lines at box office. Provides greater convenience at the concession stand and box office.

DESCRIPTION OF DRAWING(S) - The figure shows a combined architecture and process diagram illustrating purchase and redemption process of the purchasing and admittance provisioning method for event customer to entertainment event.

pp; 129 DwgNo 23/35

Title Terms: PURCHASE; ADMIT; METHOD; **EVENT**; CUSTOMER; ENTERTAINMENT; **EVENT**; **SPORTS**; **EVENT**; MOVIE; ADMIT; **EVENT**; CUSTOMER; POINT; SALE; SYSTEM; AFTER; VERIFICATION; ORDER

Derwent Class: T01; T04; T05

International Patent Class (Main): **G06F-017/60**

File Segment: EPI

10/5/21 (Item 16 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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013327205 **Image available**

WPI Acc No: 2000-499144/200044

XRPX Acc No: N00-369990

Ticket reallocation system for use in sporting event, concert, sells standby tickets relative to non-arrival prepaid ticket holders, to impromptu purchaser and reallocates seats before event start

Patent Assignee: BRADDOCK D (BRAD-I); HOCHFIELD B (HOCH-I); MCGUIRE D T (MCGU-I)

Inventor: BRADDOCK D; HOCHFIELD B; MCGUIRE D T

Number of Countries: 090 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200042538	A2	20000720	WO 2000GB92	A	20000114	200044 B
AU 200021149	A	20000801	AU 200021149	A	20000114	200054

Priority Applications (No Type Date): GB 99676 A 19990114

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200042538 A2 E 14 G06F-017/60

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

AU 200021149 A G06F-017/60 Based on patent WO 200042538

Abstract (Basic): WO 200042538 A2

NOVELTY - Number of standby tickets which is a fraction of total number of prepaid tickets, is determined from statistical probability of the ticket holders failing to arrive for an event. The standby tickets are sold to impromptu purchasers. Arrival of

prepaid ticket holder is recorded and non-arrival of holders is determined. Before event start, the seats of non-arrival persons are reallocated to the purchasers .

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for computer program.

USE - For use in allocation of tickets such as smart card for sporting events , concerts, theatrical production, etc.

ADVANTAGE - The system enables filling event venue to nearly maximum capacity though it sells all the tickets in advance and at same time accommodates late arrivals.

DESCRIPTION OF DRAWING(S) - The figure shows the schematic representation of ticket reallocation system.

pp; 14 DwgNo 1/1

Title Terms: TICKET ; SYSTEM; SPORTS ; EVENT ; CONCERT; SELL ; STANDBY;
TICKET ; RELATIVE; NON; ARRIVE; PREPAYMENT; TICKET ; HOLD; PURCHASE ;
SEAT; EVENT ; START
Derwent Class: T01; T05
International Patent Class (Main): G06F-017/60
File Segment: EPI

10/5/22 (Item 17 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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010768391 **Image available**

WPI Acc No: 1996-265345/199627

Institution management system using IC card for ticket purchase and entrance to concert hall, theater, amusement park - has entrance processing controller and entrance gate mechanism that perform entrance permission control, according to ticket information read from ID card

Patent Assignee: TOSHIBA KK (TOKE)

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 8110923	A	19960430	JP 94245454	A	19941011	199627 B

Priority Applications (No Type Date): JP 94245454 A 19941011

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
JP 8110923	A	15	G06F-017/60	

Abstract (Basic): JP 8110923 A

The system consists of a ticket purchase terminal which writes the ticket information in an IC card (10) possessed by user.

A card interface (42) reads the ticket information stored in the IC card. An entrance processing controller (41) and an entrance gate mechanism (44) perform entrance permission control, according to the information read from the IC card.

ADVANTAGE - Aims at smooth and economical employment management of institution. Eliminates issue and purchase work of tickets .

Dwg.1/10

Title Terms: INSTITUTION; MANAGEMENT; SYSTEM; IC; CARD; TICKET; PURCHASE;
ENTER; CONCERT; HALL; AMUSE; PARK; ENTER; PROCESS; CONTROL; ENTER; GATE;
MECHANISM; PERFORMANCE; ENTER; PERMIT; CONTROL; ACCORD; TICKET;
INFORMATION; READ; ID; CARD

Index Terms/Additional Words: LIBRARY; EVENT ; HALL; CONTESTING; SPACE;
GYM

Derwent Class: T04; T05

International Patent Class (Main): G06F-017/60

International Patent Class (Additional): G06F-019/00; G06K-017/00;
G07B-015/00
File Segment: EPI

Set	Items	Description
S1	166024	OPTION? ? OR TICKET?
S2	1691686	EVENT? OR ACTIVIT? OR SPORT? OR GAME? ? OR PLAYOFF? OR FIN- AL? ? OR SEMIFINAL? OR QUARTERFINAL? OR COMPETITION? ?
S3	1248858	PURCHAS? OR BUY??? OR SELL??? OR TRANSACT? OR SALE? ?
S4	813293	PROBAB? OR CHANCE? ? OR LIKEL? OR CERTAINTY OR UNCERTAINTY OR GUARANTEE?
S5	474911	ELIMINAT? OR QUALIF? OR DEFEAT? OR WIN OR WINNING OR WON OR WINS
S6	13853	S1(5N)S3
S7	291	S6(25N)S4
S8	20	S7(20N)S2
S9	702	S6(20N)S2
S10	46	S9 AND S4
S11	41	S1 AND S2 AND S3 AND S4 AND S5
S12	60	(S8 OR S10 OR S11) NOT PY>1999
S13	57	RD (unique items)

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File 2:INSPEC 1969-2003/May W1
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File 35:Dissertation Abs Online 1861-2003/Apr
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File 474:New York Times Abs 1969-2003/May 10
(c) 2003 The New York Times

File 475:Wall Street Journal Abs 1973-2003/May 09
(c) 2003 The New York Times

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 The Gale Group

File 256:SoftBase:Reviews,Companies&Prods. 82-2003/Apr
(c)2003 Info.Sources Inc

File 139:EconLit 1969-2003/May
(c) 2003 American Economic Association

13/5/1 (Item 1 from file: 2)
DIALOG(R)File 2:INSPEC
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6572105 INSPEC Abstract Number: C2000-06-6130S-010

Title: Digital- ticket -controlled digital ticket circulation

Author(s): Fujimura, K.; Kuno, H.; Terada, M.; Matsuyama, K.; Mizuno, Y.; Sekine, J.

Conference Title: Proceedings of the Eighth USENIX Security Symposium (Security'99) p.229-38

Publisher: USENIX Assoc, Berkeley, CA, USA

Publication Date: 1999 **Country of Publication:** USA 238 pp.

Material Identity Number: XX-1999-02570

Conference Title: Proceedings of 8th Security Symposium

Conference Date: 23-26 Aug. 1999 **Conference Location:** Washington, DC, USA

Language: English **Document Type:** Conference Paper (PA)

Treatment: Practical (P)

Abstract: Presents a new digital- ticket circulating scheme and trust management scheme for a digital ticket. A digital ticket is a digital medium that **guarantees** certain rights of the owner and it includes software licenses, resource access **tickets**, **event tickets** and plane **tickets**. The circulation of digital **tickets** comprises three types of principal **transactions**: issuance, transfer and redemption. Depending on the application, various conditions must be satisfied to execute these **transactions**, e.g. only **qualified** shops can issue the **tickets** and only a certain agent can transfer the **tickets**. This paper introduces circulation control **tickets**, which are required to issue, transfer or redeem a **ticket**, and proposes specifying the required control **ticket** types in the **ticket** to be circulated itself using the Generalized **Ticket** Definition Language (GTDL). The **ticket** circulating system issues, transfers or redeems a **ticket** only if the control **tickets** are owned by the participants of the **transaction**. The circulation control **tickets** themselves can be any type of digital **ticket**, e.g. a driver's license or a membership certificate to certain group, and these **tickets** can be recursively circulated in the **ticket** circulating system. This scheme provides the **ticket** circulating system with both the flexibility needed to match the business scheme of interest and application independence. This paper also proposes a **ticket** -type-based trust management scheme that enables users to mechanically verify the trust of a **ticket** by the presented **ticket** -type verification procedure. (20 Refs)

Subfile: C

Descriptors: authorisation; electronic money; reservation computer systems; specification languages

Identifiers: digital **ticket** controlled digital **ticket** circulation; **ticket** type-based trust management scheme; owner rights; software licenses; resource access **tickets**; **event tickets**; plane **tickets**; **transactions**; issuance; transfer; redemption; **qualified** shops; circulation control **tickets**; Generalized **Ticket** Definition Language; **ticket** circulating system; driver's license; membership certificate; recursive circulation; flexibility; business scheme; application independence; mechanical trust verification; **ticket** type verification procedure; digital cash

Class Codes: C6130S (Data security)

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13/5/2 (Item 2 from file: 2)
DIALOG(R)File 2:INSPEC
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6356182 INSPEC Abstract Number: C1999-10-1290F-146

Title: Coordinating investment, production, and subcontracting

Author(s): van Mieghem, J.A.

Author Affiliation: J.L. Kellogg Graduate Sch. of Manage., Northwestern Univ., Evanston, IL, USA

Journal: Management Science vol.45, no.7 p.954-71

Publisher: Inst. Oper. Res. & Manage. Sci,

Publication Date: July 1999 Country of Publication: USA

CODEN: MSCIAM ISSN: 0025-1909

SICI: 0025-1909(199907)45:7L:954:CIPS;1-B

Material Identity Number: M120-1999-011

Language: English Document Type: Journal Paper (JP)

Treatment: Practical (P); Theoretical (T)

Abstract: We value the **option** of subcontracting to improve financial performance and system coordination by analyzing a competitive stochastic investment **game** with recourse. The manufacturer and subcontractor decide separately on their capacity investment levels. Then demand **uncertainty** is resolved and both parties have the **option** to subcontract when deciding on their production and **sales**. We analyze and present outsourcing conditions for three contract types: 1) price-only contracts; 2) incomplete contracts; and 3) state-dependent price-only and incomplete contracts. While subcontracting with these three contract types can coordinate production decisions in the supply system, only state-dependent contracts can **eliminate** all decentralization costs and coordinate capacity investment decisions. Our **game**-theoretic model allows the analysis of the role of transfer prices and of the bargaining power of **buyer** and supplier. Finally, the **option** value of subcontracting increases as markets are more volatile or more negatively correlated. (24 Refs)

Subfile: C

Descriptors: investment; management; outsourcing; production control; stochastic **games**; stock control

Identifiers: capacity investment; production control; subcontracting; competitive stochastic investment **game**; outsourcing conditions; price-only contracts; incomplete contracts; state-dependent contracts; supply chain

Class Codes: C1290F (Systems theory applications in industry); C1290D (Systems theory applications in economics and business); C1140E (Game theory)

Copyright 1999, IEE

13/5/3 (Item 3 from file: 2)

DIALOG(R)File 2:INSPEC

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5426108 INSPEC Abstract Number: B9701-1265F-003, C9701-5130-001

Title: The x86 gets faster with age

Author(s): Halfhill, T.R.

Journal: BYTE vol.21, no.11 p.89-90, 92, 94, 96-9

Publisher: McGraw-Hill,

Publication Date: Nov. 1996 Country of Publication: USA

CODEN: BYTEDJ ISSN: 0360-5280

SICI: 0360-5280(199611)21:11L:89:GFW;1-Q

Material Identity Number: B183-96010

Language: English Document Type: Journal Paper (JP)

Treatment: General, Review (G); Practical (P)

Abstract: Call it the year of megahurts. Rarely have PC users shopping for a new system faced so many microprocessor-induced headaches. And it

won 't end in 1997; hotter **competition** , architectural transitions, and software factors will **probably** make users' decisions difficult for the next few years. Hibernation is not an **option** . While novices continue to blindly compare megahertz and megabytes, knowledgeable users will be juggling many more variables. These are outlined in the paper. It is argued that PC **buyers** face tough choices in 1997 as x86-chip vendors race to maintain their dominance. (0 Refs)

Subfile: B C

Descriptors: microprocessor chips

Identifiers: Intel x86; PC users; microprocessor-induced headaches; architectural transitions; software factors; megabytes; megahertz

Class Codes: B1265F.(Microprocessors and microcomputers); C5130 (Microprocessor chips)

Copyright 1996, IEE

13/5/4 (Item 1 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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01805915 ORDER NO: AADAA-19939153

The effects of agency problems on the foreign entry mode choices, relative share ownership, shareholder wealth, and joint venture stability

Author: Baek, Hyungkee Young

Degree: Ph.D.

Year: 1999

Corporate Source/Institution: University of South Carolina (0202)

Adviser: Chuck C. Y. Kwok

Source: VOLUME 60/07-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2609. 130 PAGES

Descriptors: ECONOMICS, FINANCE ; BUSINESS ADMINISTRATION, MANAGEMENT

Descriptor Codes: 0508; 0454

ISBN: 0-599-40474-4

We theoretically identify three levels of agency conflicts related to the foreign direct investment (FDI): within a parent firm, between joint venture (JV) partners, and between parents and an affiliate firm. JV partners are viewed as participants in a team production. We examine the rate of change in marginal product, and monitoring efficiency of each partner as determinants of the relative share ownership in a JV. We also examine the roles of a principal-monitor in a JV, repeated **games** between partners, **buyout / sellout options** in a JV contract, and the efficiency of mutual monitoring.

For a sample of 182 firms that announced FDIs in 1995 involving the U.S., we empirically examine the effects of agency conflicts on the choice between subsidiary and JV, the relative share ownership of JV partners, the parent shareholder wealth, and the stability of JVs. Existence of a product-related input has a positive relationship with the partner's JV share ownership. On average, firms with higher efficiency in monitoring affiliates are more **likely** to choose a subsidiary and to have a higher share ownership. The affiliate monitoring efficiency has a U-shaped relationship with the propensity to choose a subsidiary and the relative share ownership. We conjecture the economies of scale in affiliate monitoring allow only the firms that are highly efficient in affiliate monitoring to choose a subsidiary.

The fact that the partners of a new JV have previously played 'repeated games' increases the JV stability rate. The affiliate monitoring efficiency and JV stability have an inverse U-shaped relationship. We contemplate that affiliates of a parent that can monitor its foreign affiliates very efficiently may lose autonomy and gain

complaints from the other parent. Mutual monitoring efficiency among JV partners leads to a higher JV stability rate.

Stock markets react positively to the corporate JV formations with a partner that can be easily monitored. Agency costs within a parent are negatively related to the shareholder wealth change and the ownership variables are positively related. We also examine the effects of option features in a JV contract, and the foreign exchange strength and volatility.

13/5/5 (Item 2 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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01693788 ORDER NO: AAD99-23056

THE MARKET FOR GENETIC RESOURCES: THE ROLE OF RESEARCH AND DEVELOPMENT IN THE VALUATION AND CONSERVATION OF BIOLOGICAL INTELLECTUAL CAPITAL

Author: SMALL, ARTHUR ADAMS, III

Degree: PH.D.

Year: 1998

Corporate Source/Institution: UNIVERSITY OF CALIFORNIA, BERKELEY (0028)

Chair: GORDON C. RAUSSER

Source: VOLUME 60/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 828. 112 PAGES

Descriptors: ECONOMICS, AGRICULTURAL ; ECONOMICS, THEORY ; ENVIRONMENTAL SCIENCES ; BIOLOGY, GENETICS

Descriptor Codes: 0503; 0511; 0768; 0369

The economic study of biological resources has focused traditionally on the role of living creatures as sources of material, such as food and fiber. An emerging literature, however, has begun to examine the economic role of biological materials as sources of information. As new techniques of genetic manipulation lead to enormous growth in biotechnology, these bioinformatic resources promise to play an increasingly important role as inputs to innovation. Partly as a consequence, national and other organizations are moving to establish property rights over genetic resources, asserting claims of intellectual property rights over materials that had, until recently, been viewed as the common heritage of humanity.

The dissertation examines a set of questions that are raised by this enclosure of the genetic commons. Following an introductory chapter, the first essay, "Valuing Research Leads: Bioprospecting and the Conservation of Genetic Resources," considers the question, Under what conditions can the privatization of genetic resources create significant incentives for biodiversity conservation? Previous work has suggested that the scarcity rents accruing to genetic resources are **likely** be vanishingly small. The essay shows that these earlier results depend sensitively on a counter-factual assumption—that prospectors are constrained to use brute-force search procedures.

The second essay, "Bioprospecting With Patent Races," examines the effect of product-market competition on the demand for genetic resources. Earlier work has argued that the potential presence of redundancy in the space of research options has a strongly depressive effect on the demand for these options. The value that a research lead contributes to a portfolio of research options must be discounted to allow for the possibility that the lead merely duplicates a discovery that would eventually have been made anyway. As the size of the collection becomes very large, this "redundancy cost" virtually negates the benefits the lead provides as a potential source of product discovery. It is shown, however, that when there is significant competition between innovating firms, this result no longer obtains.

Results are derived under a maintained hypothesis that R&D firms

gain access to leads through the **purchase of options**, before any research **activity** commences. The third essay, "Risk Management in Bioprospecting Markets," examines whether the results are sensitive to the design of these contracts. An alternative market is analyzed in which firms purchase access rights on a spot market as they are needed, rather than acquiring a portfolio of options.

The relationship between the value of genetic resources and the quality of information is pursued further in the last essay, "Genetic Resources Libraries: Bioprospecting and Knowledge Assets." This chapter examines the incentives facing the owners of research leads to invest in data to characterize and catalog their collections. (Abstract shortened by UMI.)

13/5/6 (Item 3 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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01655699 ORDER NO: AAD98-40287

SALVAGED CARGO: HERMAN MELVILLE, JOHN WILEY, AND THE REVISED EDITION OF "TYPEE"

Author: CLUFF, CARL RANDALL

Degree: PH.D.

Year: 1997

Corporate Source/Institution: THE UNIVERSITY OF TENNESSEE (0226)

Major Professor: GEORGE B. HUTCHINSON

Source: VOLUME 59/07-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2497. 233 PAGES

Descriptors: LITERATURE, AMERICAN

Descriptor Codes: 0591

Herman Melville launched his writing career with his first novel *Typee*, published in 1846 by John Murray in London and by Wiley and Putnam in New York. Less than five months after *Typee* was published in the United States, it was republished by Wiley and Putnam in a revised, expurgated edition. The expurgations of the Revised Edition removed thirty-six pages of material that embodied Melville's critique of the **activities** of the Protestant missionaries in the South Seas and the detrimental effects of their relations with the natives.

Melville boldly challenged the fervid assumptions of Manifest Destiny and the millennial expectations of Protestant evangelicalism. In the absence of primary documents, Melville scholars have logically speculated that John Wiley's decision to expurgate *Typee* derived from his own sensibilities as a Protestant evangelical Christian and his astute business sense of how to create a wider reading audience for the novel by appeasing offended religious readers. However, archival research into John Wiley's personal life has brought to light new facts and documents, and shows that when *Typee* was published the reality of the historical moment was quite complex. Wiley's professional **options** were constrained by his need to conform to priorities in his personal life and to the expectations of prominent, devout individuals from his personal social circle, and these factors, rather than simply an attempt to foster greater **sales** of the novel, motivated his decision to bring out a revised edition of *Typee*.

The identity is established of the author of the first negative review of *Typee* published in the *New York Evangelist* of 9 April 1846. Moreover, the reviewer's relationship to John Wiley, his **qualifications** and his **likely** motives as a reviewer are studied to demonstrate, albeit through provocative circumstantial evidence, that the reviewer may have had a much larger impact upon the Revised Edition than that provided simply by writing the negative review of the novel. He may have actually participated in

expurgating the novel. Thus, the dissertation sheds significant new light on the publication of Typee and the launching of Melville's career.

13/5/7 (Item 4 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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01575721 ORDER NO: AADNN-18465

THE USE OF INTERNAL AUDIT FINDINGS IN GOVERNMENTAL ORGANIZATIONS: AN EXPERIMENTAL STUDY (TRANSACTION COST ECONOMICS)

Author: SPRAAKMAN, GARY PETER

Degree: PH.D.

Year: 1996

Corporate Source/Institution: CONCORDIA UNIVERSITY (CANADA) (0228)

Adviser: MOHAMED IBRAHIM

Source: VOLUME 58/05-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 1804. 124 PAGES

Descriptors: BUSINESS ADMINISTRATION, ACCOUNTING ; POLITICAL SCIENCE,
PUBLIC ADMINISTRATION

Descriptor Codes: 0272; 0617

ISBN: 0-612-18465-X

Transaction cost economics (TCE) provides a theory for examining the phenomenon of internal audit. TCE is concerned with economizing or choosing the lowest cost **option** from **buy** and make alternatives. With the buy or market alternative, costs are kept low by **competition**. However, with the make or in-house alternative, costs are controllable because of the ability to direct and monitor production. In this regard, Williamson (1975, 1985) has argued that internal auditors provide managers with useful information for cost economizing.

When in-house production is chosen over market, TCE maintains that there are rational reasons for the choice. These reasons are described by Williamson (1985) as dimensions, namely: asset specificity, **uncertainty**, and frequency (i.e., size). With the existence of these dimensions, information is needed to direct production activities. Accordingly, the usefulness of internal audit operational information for economizing the (dependent variable) is hypothesized to be positively related to the existence of these dimensions. In addition, based on Penno (1990), the usefulness of internal audit findings for economizing is hypothesized to be positively related to the hierarchical level to which the director of internal audit reports.

These hypotheses were tested with an experiment administered to 244 senior executives in 29 departments of two large governmental organizations. The response rate was an acceptable 40.6 percent. A split-plot general linear model was used for the statistical analyses. This entailed a full factorial, repeated measures model for the within-subjects variables, asset specificity, **uncertainty**, and size. The hierarchical level to which the director of internal audit reports was analyzed as a between-subjects variable.

The hypotheses for the positive relation of asset specificity and size to usefulness were supported at the 0.01 level, while there was some support for the positive relation for the hierarchical level to which the director of internal audit reports. There was no support for a positive relation for **uncertainty**.

13/5/8 (Item 5 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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01533079 ORDER NO: AAD97-08208

PRIVATE SECTOR PARTICIPATION OPTIONS IN THE WATER SECTOR IN TRANSITION ECONOMIES

Author: ZAJC, KATARINA

Degree: PH.D.

Year: 1996

Corporate Source/Institution: GEORGE MASON UNIVERSITY (0883)

Director: TYLER COWEN

Source: VOLUME 57/10-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 4468. 160 PAGES

Descriptors: ECONOMICS, GENERAL

Descriptor Codes: 0501

The study compares the efficiency of two private sector participation **options** --concession agreements and asset **sales** --for water utilities in developed and transition economies.

My research incorporates the principal-agent model, **game** theory, property rights theory with transaction costs, and case studies. The study indicates that water utility asset sales are typically more efficient privatization contracts than concession agreements in transition economies, although the efficiency differences will be trivial under some conditions, especially in developed economies.

The first section of the study discusses the institutional environment which strengthens the incentives to invest and contract, namely the rule of law. I also discuss the institutional environment in transition economies. Second section presents the key distinguishing characteristics of asset sales and concession agreements: the division of property rights, the continuity of the relationship between the private sector and the government, and the option to privatize. In section three I show in a game-theoretic model that in developed economies, where the rule of law applies, private sector participation options reach the same level of efficiency. The model suggests that in transition economies, on the other hand, some private sector participation options might be more efficient than others. In section four I examine the existing private sector participation options in developed and transition economies. Comparing private sector participation options in transition and developed economies, I conclude that private sector participation options seem more efficient in developed economies primarily because formal and informal channels constrain government arbitrary behavior and **guarantee** stable and continuous contractual environment.

13/5/9 (Item 6 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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01258978 ORDER NO: AAD92-39772

EARTHQUAKES AND OLD BUILDINGS: SEISMIC RISK PERMITS FOR CALIFORNIA STRUCTURES (BUILDINGS, RISK ANALYSIS)

Author: DEAN, WILLIAM EDWIN

Degree: PH.D.

Year: 1992

Corporate Source/Institution: THE RAND GRADUATE INSTITUTE (0486)

Chairman: KENNETH SOLOMON

Source: VOLUME 53/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 3360. 172 PAGES

Descriptors: POLITICAL SCIENCE, PUBLIC ADMINISTRATION; URBAN AND REGIONAL PLANNING; ECONOMICS, GENERAL

Descriptor Codes: 0617; 0999; 0501

California is unique among the 50 states; it is the most populous and has the most damaging earthquakes. Many unreinforced masonry buildings remain in use, and these buildings pose real hazards to human life. Modern buildings do not collapse on people, but economic loss from destruction of contents and the disruption of **activity** in a building typically exceeds structural damage. Emerging technologies, such as seismic base isolation, reduce shaking, thus lowering function loss and contents loss.

This dissertation considers policies for distributing permits under a quota system limiting total seismic risk. Developers would have two **options** : (1) to construct the building to the Uniform Building Code and obtain the appropriate quantity of risk permit units from someone who is reducing the seismic risk of an existing building; (2) to construct the building with seismic base isolation to reduce the risk. Owners of unreinforced masonry buildings could **sell** permits to help finance retrofit of their buildings.

Marketable seismic risk permits would promote cost-effective risk reduction. The policy would encourage base isolation of many buildings. Where base isolation is not cost-effective, the developer can **purchase** risk permits. Likewise, the policy provides an incentive for voluntary risk abatement of old buildings for which it is most cost-effective. Risk permits could be preferable to a command-and-control policy that imposes a single standard for all.

Alternatively, the state could collect a tax on new construction according to the number of risk permit units on each new building, and then distribute the proceeds for abatement of seismic risk according to the number of risk permits on each hazardous building. The tax **eliminates uncertainty** about the price of risk permits.

A marketable seismic risk permits policy is a risky mechanism for providing a financial incentive for the seismic retrofit of hazardous old buildings. A seismic risk permit tax is superior to a command-control imposition of a higher level of seismic standards for all new construction. A 2-percent tax would save the construction industry up to a billion dollars per year and provide up to \$240 million to assist seismic retrofit of hazardous unreinforced masonry buildings.

13/5/10 (Item 7 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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01231980 ORDER NO: AAD92-22875

TEMPORAL RELATIONSHIPS OF INTRADAILY RETURNS AND TRADING ACTIVITY OF STOCKS AND STOCK OPTIONS

Author: DORFSCHMID, CORNELIA MARION

Degree: PH.D.

Year: 1992

Corporate Source/Institution: NEW YORK UNIVERSITY (0146)

Chairman: JAMES B. RAMSEY

Source: VOLUME 53/03-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 904. 832 PAGES

Descriptors: ECONOMICS, FINANCE; BUSINESS ADMINISTRATION, GENERAL

Descriptor Codes: 0508; 0310

Normality, no serial correlation, and stationarity have frequently been assumed in the analysis of asset behavior. Daily and intradaily observed returns usually violate these assumptions. Intradaily cyclical patterns in return volatility and trading **activity** may be related to the information flow or the microstructure of the asset markets.

This dissertation contends that trading **activity** can be interpreted as an indicator of the information arrival process and hence can at least

explain in part nonnormality, serial correlation and nonstationarity.

Part I surveys previous research on asset behavior and its relation to information arrivals; Part II describes the constructed dataset; Part III investigates the stock market; Part IV analyzes the **options** market; Part V concludes the study.

Tick-by-tick stock **options** **transactions** data from the CBOE files and stock data from the Francis Emory Fitch files are converted into intradaily 15, 30, and 60 minute time series. Patterns in intradaily trading **activity** and return behavior of 15 stocks and their stock **options** are examined with respect to mean and variance patterns in returns, trading **activity**, and the **probability** nontrading. The question whether similar intradaily patterns arise in both underlying and derivative markets is addressed. Clark's (1973) mixing model provides the theoretical framework. The return process is subordinate to a mixing variable--the flow of information arrival to the market--which affects the temporal patterns and moments of trading **activity** and returns. Intradaily patterns in the return variance, the moments of trading **activity** measures, and the **probability** of (non)trading are derived and related to information arrivals. It is demonstrated that trading **activity** as a mixing proxy reduces the deviation from normality and stationarity. Evidence for the mixing model in an intradaily setting is provided by bringing conditional return distributions within the range of a normal parent. A serially correlated information arrival process is built into a GARCH model of the return process. It is shown that trading **activity** can significantly reduce or **eliminate** GARCH effects.

13/5/11 (Item 8 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online

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1029297 ORDER NO: AAD88-24313

THEORIES OF SPECULATION AND THE ECONOMICS OF INFORMATION

Author: GUTH, MICHAEL ANTHONY STEPHEN

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Year: 1988

Corporate Source/Institution: THE UNIVERSITY OF TENNESSEE (0226)

MAJOR PROFESSOR: GEORGE C. PHILIPPATOS

Source: VOLUME 49/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2764. 175 PAGES

Descriptors: ECONOMICS, THEORY

Descriptor Codes: 0511

This dissertation studies informational asymmetries and explores some new areas of **uncertainty** in economic decision-making. The first chapter contains a statement of the main contributions of each chapter and a literature review on speculation theory, informational content of prices, and speculative bubbles. Chapter 2 discusses intrinsic **uncertainty** and higher-order beliefs. The chapter's main theme is that individuals can agree without knowing they agree and that agreed common knowledge priors can **eliminate** this intrinsic **uncertainty**. Chapter 2 introduces a new framework for common knowledge beliefs and two propositions that clarify the very fundamental assumptions that **guarantee** equilibrium consensus.

Chapter 3 examines the impact of intrinsic **uncertainty** on the major asset pricing paradigms. Since intrinsic **uncertainty** has been a neglected research topic in mathematical economics and financial economics, it is not surprising to find the Modigliani-Miller paradigm, Arbitrage Pricing Theory, and **Option** Pricing Theory depend on common knowledge beliefs assumptions. The Capital Asset Pricing Model subsumes common knowledge through assumptions about perfect information about the returns to assets.

Whereas Chapter 3 illustrates speculation based on intrinsic **uncertainty**, Chapter 4 illustrates speculation with agreed common knowledge priors but with a known dichotomy between informed and uninformed traders. Rather than attempting to iterate to consensus as in Chapter 2, the traders in Chapter 4 **buy** and **sell** assets and draw inferences from the behavior of others. Chapter 4 presents a Bayesian Nash equilibrium of speculative bubbles and emphasizes the feedback effect stemming from informed trader **purchases**. This chapter presents the first **game** theoretic analysis of speculative bubbles as well as the first fully rational, payoff-maximizing approach to bubble formation.

13/5/12 (Item 9 from file: 35)

DIALOG(R)File 35:Dissertation Abs Online
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0968188 ORDER NO: AAD87-24525

THE IMPACT OF FINANCIAL POLICY OPTIONS AND GOVERNMENT COMMODITY PROGRAM PAYMENTS ON FARM FINANCIAL SURVIVAL AND PERFORMANCE (OKLAHOMA)

Author: AL-ABDALI, SA'AD A. NAJIM

Degree: PH.D

Year: 1987

Corporate Source/Institution: OKLAHOMA STATE UNIVERSITY (0664)

Source: VOLUME 48/08-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 2116. 168 PAGES

Descriptors: ECONOMICS, AGRICULTURAL

Descriptor Codes: 0503

Scope of Study. This study evaluated the impacts of various financial policy **options** and government commodity program payments on the financial performance and long-run financial survival of Oklahoma farms under different leverage positions and economic outlooks. The empirical analysis involved simulating three farm situations under six policy **options** and six government program payment levels over a five-year period starting in 1986 in stochastic environment for crop yields and prices. The six policy **options** are debt reduction, interest reduction, debt deferral, asset **sale** with and without lease back, and equity infusion. The three farm situations with the policy **options** were also simulated under base, optimistic and pessimistic economic assumptions.

Findings and Conclusions. The simulation results clearly support the observed financial stress for the highly leveraged farms. The government commodity program payments are crucial for maintaining the financial survival and economic viability for these farms. Reduction in government program payments had adverse impact on all farm situations, but devastating only for the highly leveraged farm (70 percent D/A) situation. **Eliminating** the government program payments created a serious long-run problem even for the less leveraged farm situation (20 percent D/A) and farms cannot survive indefinitely unless substantial profitable adjustments in cropping and/or livestock **activities** are made.

The six policy **options** analyzed offer promise in assessing the farm's financial performance and survival over the next five years for all farm situations. The extent to which these policy **options** are helpful depends on the economic assumptions and the farm leverage position. Without improvement in farmland values and crop and livestock prices, the highly leveraged farms have low **chance** of financial survival over the next five years even with these policy **options**. Also, the six policy **options** have differential impacts on the farm profitability, risk and solvency. The impact, however, is fairly consistent among the beginning D/A ratio situation.

13/5/13 (Item 10 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
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898397 ORDER NO: AAD85-26246

**REVERSE LICENSING: INTERNATIONAL TECHNOLOGY TRANSFER TO THE UNITED STATES
(PROCESS, SOURCING, BUSINESS)**

Author: SHAHROKHI, MANUCHEHR
Degree: PH.D.
Year: 1985
Corporate Source/Institution: THE OHIO STATE UNIVERSITY (0168)
Source: VOLUME 46/09-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 2752. 289 PAGES
Descriptors: BUSINESS ADMINISTRATION, MARKETING
Descriptor Codes: 0338

This dissertation, theoretically and empirically, focuses on U.S. licensees as the recipient of foreign technology, and investigates characteristics of licensees, licenses, and licensed technology. The viability reverse licensing, as an international growth strategy, is evaluated from the standpoint of two groups of firms:

The first consists of thousands of small and medium-sized U.S. manufacturing firms, with few products and virtually no R & D expenditures. Without R & D, new technology and stiff international **competition**, they are forced into bankruptcies despite their extreme importance in the economy (48% of private workforce, 42% of **sales**, and 38% of GNP).

The second group consists of thousands of small and medium-sized firms overseas, with a relatively good supply of technology (i.e., patents) and anxious to exploit the U.S. market but lack required resources for FDI. Technology licensing is, perhaps, the only viable **option** available to them. Reverse licensing provides both groups with a "mechanism" for their growth, survival, and prosperity. Many U.S. firms have utilized this strategy for many years (i.e., 118 in Ohio) for tapping foreign sources including Soviet bloc technology.

In the first part, models for evaluating various strategies for future technological needs are developed and the research hypotheses are proposed. "Delphi" technique is employed for research "instrument" design and a combination of questionnaire, data bank, public and private and interviews with licensees' executives are utilized for empirical tests of the hypotheses. Chi-square, Gamma, and Pearson Brown Correlation are the test statistics.

The empirical results are: (a) small to medium-sized licensees acquire technology when their existing product(s) reach the "declining" stage of product life cycle. The technology transferred is directly related to their existing product lines; (b) licensees with core-skills (a high ratio of **qualified** personnel) acquire technology "earlier" in the PLC, and unlike non-competent licensees, they begin operations under license in environments of higher technical and marketing uncertainties; (c) "dominant" licensees (compared to licensors in terms of size of R & D) are able to negotiate a lower licensing fee and fewer restrictions in the agreement; (d) licensees with "continuous" agreements are more **likely** to go through "Licensing Cycle Model," and continuous licenses have more restrictions than one-time agreements.

13/5/14 (Item 1 from file: 99)
DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs
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2388537 H.W. WILSON RECORD NUMBER: BAST86036301

LHX studies include tilt-rotor option

Aviation Week & Space Technology v. 124 (June 16 1986) p. 29

DOCUMENT TYPE: Feature Article ISSN: 0005-2175 LANGUAGE: English

RECORD STATUS: Corrected or revised record

ABSTRACT: A U.S. Army analysis of the cost and operational effectiveness of light attack/scout/utility (LHX) helicopters includes an **option** that would reintroduce tilt-rotor technology to the program. Army spokesmen say that the analysis, due August 1, considers four **options**, including upgrade of the Bell AH-1, UH-1, OH-58, and Hughes OH-6 fleets; upgrade of the McDonnell Douglas AH-64/Sikorsky UH-60 fleet; development of the LHX based on advanced helicopter rotor technology; and development of the LHX using tilt-rotor technology. A previous army decision to pursue advanced rotorcraft technology for the LHX was believed to have **eliminated** tilt-rotor versions from further consideration. Tilt-rotor LHXs would be larger and **probably** more costly than other versions, but they would offer advantages in speed and self-deployment capability. Schedules call for an army **purchase** of various versions of some 4,500 vehicles, with delivery of **final** -version LHXs in 2018 or later.

DESCRIPTORS: Military helicopters;

13/5/15 (Item 2 from file: 99)

DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs

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1883656 H.W. WILSON RECORD NUMBER: BAST99045657

F-16 scores Israeli win in fighter export battle

Morocco, John D;

Aviation Week & Space Technology v. 151 no4 (July 26 1999) p. 29-30

DOCUMENT TYPE: Feature Article ISSN: 0005-2175 LANGUAGE: English

RECORD STATUS: New record

ABSTRACT: The export **chances** for the F-16 received a boost with a **purchase** request from Israel. The Middle East country has decided to procure 50 F-16Is for \$2.5 million and take an **option** on 60 fighters. The aircraft, which will be equipped with Northrop Grumman's APG-68 Agile Beam Radar, will have an advanced electronic warfare suite from Israeli company Elrisa and airframe components from Israel Aircraft Industries. Lockheed Martin is currently vying with Boeing for the lucrative Joint Strike Fighter contract, and the company said the Israeli deal would **guarantee** that work at its Fort Worth, Texas, facility would continue until production of the JSF could start.

DESCRIPTORS: Military airplanes--Israel; Airplane industry-- **Competition** ;
Military airplanes--Electronic equipment; Lockheed Martin Tactical
Aircraft Systems (Firm);

13/5/16 (Item 1 from file: 233)

DIALOG(R)File 233:Internet & Personal Comp. Abs.

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00531043 99PK04-006

NDS 8 lays firm e-com foundation -- Upgrade will ease supply-chain communication, but most sites aren't yet ready

Surkan, Michael

PC Week , April 5, 1999 , v16, n14 p14, 1 Page(s)

ISSN: 0740-1604

Company Name: Novell

URL: http://www.novell.com

Product Name: NDS 8

Languages: English

Document Type: Software Review

Grade (of Product Reviewed): B

Hardware/Software Compatibility: IBM PC Compatible

Geographic Location: United States

Presents a favorable review of NDS Version 8 beta (\$NA) from Novell Inc. of Provo, UT (800). Says it offers strong LDAP (Lightweight Directory Access Protocol) support and improved scalability and will be well-suited for Internet Service Providers (ISPs) and electronic commerce. States it will **eventually** ease application hosting and supply-chain communications for a much broader community. Says the next edition of NDS, slated to ship in three months, will fully support LDAP Version 3.0, including its fast searches, auxiliary classes, and referrals. Adds that most restrictions on NDS structures have been **eliminated**: NDS 8 can support 1 billion objects per tree and millions of objects per container. Claims that NetWare administrators **probably** will not rush to **purchase** NDS 8 because it will not change day-to-day operations much. Warns that the Java management tool is slow. Calls it a serious **option** for ISPs. Includes a product summary. (map)

Descriptors: Network Operating Systems; Networks; Network Management; Enterprise Computing; Upgrade
Identifiers: NDS 8; Novell

13/5/17 (Item 2 from file: 233)

DIALOG(R) File 233:Internet & Personal Comp. Abs.

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00429460 96WW07-024

Players big and small struggle to profit from VRML -- Vendors say it's a slow go but predict future success

Carl, Jeremy

WebWeek , July 8, 1996 , v2 n9 p34, 1 Page(s)

ISSN: 1081-3071

Languages: English

Document Type: Feature Articles and News

Geographic Location: United States

Focuses on the use of Virtual Reality Modeling Language (VRML) on the Internet, stating that the **uncertainty** surrounding virtual-reality technology on the Internet has not deterred many of the largest companies from investing in it. Indicates that several former Intel Corp. executives have left the company to investigate the commercial possibilities of The Palace, an innovative Virtual World that, while not VRML, uses many of the same concepts. Reports that many smaller companies are innovating to develop commercial VRML sites, such as Virtus' true-to-life model of the Durham Bulls stadium, which **eventually** will have links to outside areas within the site, such as allowing customers to come up to **buy tickets**. Says that there are doubts about VRML's commercial prospects in some quarters, but also considers the possibility of indirect revenue coming from promotion such as ads on the Bulls' stadium walls. Includes two screen displays. (jo)

Descriptors: Virtual Reality; Modeling; Internet; Web Sites

13/5/18 (Item 1 from file: 474)

DIALOG(R) File 474:New York Times Abs

(c) 2003 The New York Times. All rts. reserv.

07718116 NYT Sequence Number: 942367991014

FOOTLIGHTS

Van Gelder, Lawrence

New York Times, Col. 1, Pg. 1, Sec. E

Thursday October 14 1999

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Complete journals of poet and novelist Sylvia Plath, who committed suicide in 1963, will be published in Britain in April 2000 by Faber & Faber; Philip Roth, whose novel American Pastoral has been success in France, will be honored at Book Festival of Aix-in-Provence from October 21 to 24; photo; nearly 30 important and rarely seen paintings and works on paper by American colorist Milton Avery (1893-1965) will be displayed at opening of newly renovated gallery at Syracuse University's Lublin House; photo; Kids Night on Broadway will be expanded from one to two nights--January 25 and February 1; **event** offers those between ages of 6 and 18 **chance** to experience live theater with free tickets to Broadway shows when accompanied by adult who **buys** full-price **ticket** (M)

SPECIAL FEATURES: Photo

COMPANY NAMES: Faber & Faber; Syracuse University; Faber & Faber

DESCRIPTORS: Poetry and Poets; Theater; Books and Literature; American

Pastoral (Book); Awards, Decorations and Honors; Trade Shows and Fairs;

Children and Youth; Poetry and Poets; Biographical Information; Suicides and Suicide Attempts

PERSONAL NAMES: Plath, Sylvia; Avery, Milton (1893-1965); Roth, Philip;

Plath, Sylvia; Van Gelder, Lawrence

GEOGRAPHIC NAMES: France

13/5/19 (Item 2 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2003 The New York Times. All rts. reserv.

07640345 NYT Sequence Number: 946311980920

TEST OF LOYALTY AND LUCK FOR YANKEE FANS

Yardley, Jim

New York Times, Col. 2, Pg. 45, Sec. 1

Sunday September 20 1998

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

New York Yankees give out wristbands that give fans **chance** to **buy** **playoff** or World Series **tickets** ; photo (S)

SPECIAL FEATURES: Photo

COMPANY NAMES: New York Yankees

DESCRIPTORS: Baseball; Playoff Games; World Series

PERSONAL NAMES: Yardley, Jim

13/5/20 (Item 3 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2003 The New York Times. All rts. reserv.

07601228 NYT Sequence Number: 854735980508

SOCCER: A BOOKLET TELLS THE FRENCH TO BE NICE

Whitney, Craig R

New York Times, Col. 4, Pg. 5, Sec. C

Friday May 8 1998

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

French have made it so difficult for anybody who does not live in France to **buy** any of 2.5 million **tickets** for upcoming World Cup soccer tournament that European Union will **probably** charge it with violating rules of fair **competition**, according to officials who say guilty finding could bring fine of few million dollars; photo (M)

SPECIAL FEATURES: Photo

COMPANY NAMES: European Union

DESCRIPTORS: Soccer; World Cup (Soccer)

PERSONAL NAMES: Whitney, Craig R

GEOGRAPHIC NAMES: France

13/5/21 (Item 4 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2003 The New York Times. All rts. reserv.

07557839 NYT Sequence Number: 997943971106

PLUS: CITY HELPS CHARGERS

Associated Press

New York Times, Col. 5, Pg. 7, Sec. C

Thursday November 6 1997

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

City of San Diego will **buy tickets** to Chargers' **games** and throw them away; under agreement with team, city has **guaranteed sale** of 60,000 general-admission **tickets** for every home **game**; in order to **buy** as few **tickets** as possible, city will make its purchases after first quarter (S)

COMPANY NAMES: San Diego Chargers

DESCRIPTORS: Football

GEOGRAPHIC NAMES: San Diego (Calif)

13/5/22 (Item 5 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2003 The New York Times. All rts. reserv.

05279170 NYT Sequence Number: 153241880422

KNICKS READY FOR THE FINAL 2

GOLDAPER, SAM

New York Times, Col. 1, Pg. 25, Sec. 1

Friday April 22 1988

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Knicks fans, with **chance** to **purchase playoff tickets** for first time in four years, have responded by buying all but 2,000 of 19,591

tickets for each game of opening series (S)

COMPANY NAMES: NEW YORK KNICKERBOCKERS
DESCRIPTORS: BASKETBALL; PLAYOFF GAMES
PERSONAL NAMES: GOLDAPER, SAM

13/5/23 (Item 6 from file: 474)

DIALOG(R)File 474:New York Times Abs
(c) 2003 The New York Times. All rts. reserv.

04536571 NYT Sequence Number: 995827850917

Article describes split between sports executives, major-league commissioners and owners over impact Pres Reagan's tax reform plan is likely to have on teams; commissioners and some others have urged that plan be modified or scrapped, citing belief that ticket sales will plummet and prices will rise; plan would prohibit corporations from deducting cost of seats as business expense for tax purposes (M)

New York Times, Col. 4, Pg. 11, Sec. 3

Monday June 10 1985

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

DESCRIPTORS: ATHLETICS AND SPORTS; TICKETS; PRICES; TAXATION; CORPORATIONS
; REFORM AND REORGANIZATION; FEDERAL TAXES (US); INCOME TAX

PERSONAL NAMES: REAGAN, RONALD WILSON (PRES) ON

GEOGRAPHIC NAMES: UNITED STATES

13/5/24 (Item 7 from file: 474)

DIALOG(R)File 474:New York Times Abs
(c) 2003 The New York Times. All rts. reserv.

01158103 NYT Sequence Number: 043784820126

Owner of Colorado Rockies hockey team Peter Gilbert meets with Ottawa (Ontario) Mayor Marion Dewar to discuss possibility of relocating team from Denver (Colo). Seeks guaranteed minimum ticket sales and expansion of Ottawa's Sportsplex arena. Gilbert photo (S.)

New York Times, Col. 1, Pg. 15, Sec. 3

Tuesday January 26 1982

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

SPECIAL FEATURES: Photo

COMPANY NAMES: SPORTSPLEX (OTTAWA); COLORADO ROCKIES

DESCRIPTORS: 26-21-41; STADIUMS; ATTENDANCE; HOCKEY, ICE; PROFESSIONAL
ATHLETICS; RELOCATION OF BUSINESS

PERSONAL NAMES: DEWAR, MARION; GILBERT, PETER (OWNER)

GEOGRAPHIC NAMES: OTTAWA (ONTARIO); CANADA; COLORADO; DENVER (COLO)

13/5/25 (Item 8 from file: 474)

DIALOG(R)File 474:New York Times Abs
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00843621 NYT Sequence Number: 029373780922

Senate Finance Committee adopts chmn Sen Russell Long's proposal to exclude 70% of long-term capital gains from ordinary income tax, instead of present exclusion of 50%. HR is likely to resist raising excludable portion of capital gains to 70%, as is Carter Adm. Related item notes

committee declared recent decision to deny deductions for ownership of yachts, hunting lodges and other facilities used for business entertainment would not apply to purchase of season tickets for professional sports or tickets for theater or opera (L.)

COWAN, EDWARD

New York Times, Pg. 21

Friday September 22 1978

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

COMPANY NAMES: SENATE COMMITTEE ON FINANCE

DESCRIPTORS: ATHLETICS AND SPORTS; CAPITAL GAINS TAX; CORPORATIONS;

ENTERTAINMENT AND AMUSEMENTS; INCOME; INCOME, PERSONAL; INCOME TAX; LAW AND LEGISLATION (FEDERAL); OPERA; TAXATION; THEATER; TICKETS

PERSONAL NAMES: COWAN, EDWARD; CARTER, JIMMY (PRES)

GEOGRAPHIC NAMES: UNITED STATES

13/5/26 (Item 9 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00732082 NYT Sequence Number: 092918761010

William Barry Furlong describes rebuilding effort that resulted in NY Yankees winning '76 Amer League Eastern Division championship. Notes that Yankees gen partner George Steinbrenner and Gabe Paul acquired all but 3 of team's current players through purchases or trades. Notes hiring of manager Billy Martin as 'perhaps the most important deal'. Revs various player transactions. Notes Yankees have reptdly grossed \$9 million-\$10 million from gate receipts and concessions during '76 season, not counting playoffs and possible World Series. Holds Yankees also probably receive over \$1 million for radio and television coverage. Photos of Martin and of Paul and Steinbrenner with Mike Burke after Steinbrenner bought team. Cartoon (L.)

FURLONG, WILLIAM BARRY

New York Times, Pg. 18, Sec. 6

Sunday October 10 1976

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

SPECIAL FEATURES: Cartoon; Photo

COMPANY NAMES: AMERICAN LEAGUE; NEW YORK YANKEES

DESCRIPTORS: BASEBALL; COMPANY AND ORGANIZATION PROFILES; FINANCES;

FRANCHISES AND LICENSING AGREEMENTS; TELEVISION AND RADIO; TICKETS

PERSONAL NAMES: FURLONG, WILLIAM BARRY; MARTIN, BILLY (BASEBALL MGR);

PAUL, GABE; STEINBRENNER, GEORGE M 3D

13/5/27 (Item 10 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00717528 NYT Sequence Number: 078364760829

Natl Football League seen forced to televise its most attractive games in order to earn \$60 million it receives from networks while simultaneously needing to attract more fans to pay avg \$11/ticket to see games at stadiums. Season- ticket sales at NFL games have declined approx 160,000, or 12%, since '73, representing \$1.6 Million loss, although overall attendance apart from season- ticket sales has declined only 4% since '73. Television networks seen seeking greater privileges in exch

for larger fees to be sought by NFL comr Pete Rozelle in upcoming contract negotiations. Chance of curbing TV coverage seen if Cong does not renew expired law prohibiting blackouts of home games sold out 72 hours in advance. Outlook for '76 NFL season revd. Drawing of football players (M).)

WALLACE, WILLIAM N

New York Times, Col. 4, Pg. 1, Sec. 5

Sunday August 29 1976

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

SPECIAL FEATURES: Drawing

COMPANY NAMES: NATIONAL FOOTBALL LEAGUE (NFL)

DESCRIPTORS: FINANCES; FOOTBALL; LAW AND LEGISLATION (FEDERAL); TELEVISION AND RADIO; TICKETS; CONTRACTS AND OTHER SALES AGREEMENTS; SUBCONTRACTS; CONTRACTS; TURNKEY CONTRACTS

PERSONAL NAMES: WALLACE, WILLIAM N; ROZELLE, PETE (COMR)

13/5/28 (Item 11 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00526298 NYT Sequence Number: 093328740202

SEC Comr John R Evans says preliminary information shows buyers of stock options increase their activity as chances of making profit diminish, querying whether options trading is 'geared toward gambling instinct and not investment interest' (S).)

United Press International

New York Times, Col. 2, Pg. 34

Saturday February 2 1974

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

COMPANY NAMES: SECURITIES AND EXCHANGE COMMISSION (S; E; C;)

DESCRIPTORS: GAMBLING; OPTIONS TRADING (SECURITIES); STOCKS AND BONDS

PERSONAL NAMES: EVANS, JOHN R (COMR)

13/5/29 (Item 12 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00387214 NYT Sequence Number: 042554731003

ASE says on Oct 2 that it is moving forward on 'top priority basis' with plan to initiate trading in 'call' stock options, which give purchaser right to buy stock at fixed price during specified period, special bulletin to members; says it will start recruiting, training and final design of pilot program, which it hopes to begin by Dec 10; weighs bids for automated system for clearing transactions; says initial program will include 10 stocks; awaits SEC approval; notes Chicago Bd of Trade's Options Exch, which began pilot program last Apr, has urged SEC not to let other exchs begin pilot projects at this time; Chicago exch repr says acceptance of options trading has been excellent, with 150,694 contracts, representing 15-million shares, traded in Sept, rise of 65% over Aug; PBW Stock Exch, which also awaits SEC approval, says its program probably will start with call options on 15 stocks)

New York Times, Col. 1, Pg. 59

Wednesday October 3 1973

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

COMPANY NAMES: CHICAGO BOARD OF TRADE; OPTIONS EXCHANGE, CHICAGO BOARD;
SECURITIES AND EXCHANGE COMMISSION (S.E.C.); STOCK EXCHANGE, PHILADELPHIA
(PBW)

DESCRIPTORS: OPTIONS TRADING (SECURITIES); STOCKS AND BONDS

PERSONAL NAMES: JENSEN, MICHAEL C

13/5/30 (Item 13 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00157879 NYT Sequence Number: 011622710128

Penn Central Transportation Co trustees rept that rr operated at loss of \$37,192,529 in Nov and at loss of \$290,068,156 during 1st 11 mos of '70, based on results computed on accrued basis; Penn Central Co prospectus issued by Merrill Lynch, Pierce, Fenner & Smith discloses that Govt was given option to buy or lease line's properties in event system ceases operation in return for Govt's guarantee of payment of principal and interest of trustee certificates; other conditions noted)

New York Times, Col. 1, Pg. 47

Thursday January 28 1971

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English

RECORD TYPE: Abstract

COMPANY NAMES: MERRILL LYNCH PIERCE FENNER & SMITH INC; PENN CENTRAL CO

PERSONAL NAMES: BEDINGFIELD, ROBERT E

13/5/31 (Item 1 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

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08013446 NYT Sequence Number: 000000990303

OPTIONS TRADERS MAINTAIN A WARY OUTLOOK, AMID UNCERTAINTY ABOUT DIRECTION OF STOCKS

SEARS, STEVEN M

Wall Street Journal, Col. 5, Pg. 18, Sec. C

Wednesday March 3 1999

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Options traders remain concerned about interest rates, the bond market and direction of stock market, though some traders find **event** -driven reasons to **buy options** (M)

DESCRIPTORS: STOCKS AND BONDS; FUTURES AND OPTIONS TRADING

PERSONAL NAMES: SEARS, STEVEN M

13/5/32 (Item 1 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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09105407

British games masters ready to zap the world

UK: BRITISH GAMES MAKERS TO CONQUER WORLD MARKET

Sunday Express (ASY) 16 May 1999 p.88

Language: ENGLISH

The UK is fast becoming a major force in the world computer games industry alongside the likes of the US and Japan. The worldwide market for computer games developers was worth GBt 9bn in 1998 and is set to grow at a rate of 30% per year until 2003. In the UK alone the domestic computer game market is bigger than the video rental market and will very soon have a bigger revenue than the film industry. The Tomb Raider series from Eidos, has already sold more units than the Spice Girls pop group have sold albums. Revenues generated for computer **games** companies come from three main sources: licences awarded overseas, direct **sales** and bundling. The latter **option** has proved to be a particular success. By the end of 1999 some 60mn PlayStation consoles will have been sold around the world. Sony, Sega and Nintendo are at present locked in a price war and this is **likely** to push sales even further. Digital interactive television also offers a brand new outlet for computer games companies. Consolidation within the industry is also **likely**.

COMPANY: EIDOS; NINTENDO; SEGA; SONY

PRODUCT: Electronic Games (3651EG);

EVENT: Sales & Consumption (65); Market & Industry News (60);

COUNTRY: General Worldwide (0W); United Kingdom (4UK);

13/5/33 (Item 2 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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09021387

Camelot jackpot under threat

UK: NATIONAL LOTTERY FRANCHISE GOES UP FOR GRABS

Guardian (GN) 23 Nov 1998 p.18

Language: ENGLISH

The Culture Secretary Chris Smith, is **likely** to declare a series of guidelines for choosing the company which will take on the next national lottery franchise. It is believed that Mr Smith will declare his determination that there should be **competition** for the franchise and that a non-profit **option** could be **likely**. Enhanced **competition** should result in the best player **winning** the bid and hopefully the best deal for the good causes the lottery helps. The speculation suggests that Camelot will not retain the franchise. Of late the company has been criticised for the way it has run the lottery and the large profits it has been making. *

EVENT: Licences & **Sales** Agreements (38); Capital Expenditure (43); Use of Materials & Supplies (46); Contracts & Orders (61);

COUNTRY: United Kingdom (4UK);

13/5/34 (Item 3 from file: 583)

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06698194

Pidemco to **guarantee** OPH's \$90m note issue in unique deal

SINGAPORE: OPH PLANS S\$ 90 MN NOTE ISSUE

Business Times (XBA) 02 Oct 1998 P.1

Language: ENGLISH

Pidemco Land, a firm owned by the Singapore government, will **guarantee** a

S\$ 90 mn note issue by property developer Orchard Parade Holdings (OPH) in an unique deal that comes with several layers of security. Under the proposed scheme, OPH will raise S\$ 90 mn in secured medium term notes due 2003 to refinance S\$ 51 mn of bonds due 1999. OPH will irrevocably **guarantee** the notes, which will be issued by its wholly-owned subsidiary, OPH Orion. The notes will also come with an underlying security package that comprises S\$ 90 mn in mortgage-based debentures issued by Blissville Properties and OPH's 60% share in Blissville. The debentures will in turn be secured on a first legal mortgage on Blissville Condominium's site. The notes will also be further boosted by Pidemco's backing under an arrangement said to be a first for the capital market in Singapore. In return for an option fee to be paid by OPH to Pidemco, noteholders can exercise an option to make Pidemco buy the underlying security package for a pre-agreed price of S\$ 90 mn, in the event of a default.

COMPANY: OPH ORION; OPH; ORCHARD PARADE HOLDINGS; PIDEMCO LAND

PRODUCT: Property Development (6552PD);
EVENT: Company Financial Data (80);
COUNTRY: Singapore (9SIN);

13/5/35 (Item 4 from file: 583)

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06669394

Can't pay, pre-pay

UK: PRE-PAYMENT METERS BECOME MORE POPULAR

Utility Week (AIC) 31 Jul 1998 p.20-21

Language: ENGLISH

There is some concern that certain gas and electricity customers, namely those who are so-called disadvantaged, are not receiving as many benefits from the domestic **competition** which is being rolled out. This is because they have to use pre-payment meters (PPM's). The competitive state of the market has seen cost reflective pricing implemented. A customer who previously spread the cost of payment by PPM's has seen the implementation of incentives and penalties linked to pay **options** used by individuals. In spite of the penalties there has been an increase in the number of pre-payment meters since 1991 with figures rising from 2.5mn to 4mn in 1997. The number of gas customers using PPM have risen by more than a third since 1995, and meters are being added at a rate of 300,000 annually. There are both advantages and disadvantages to PPM's for both the customer and the utility company; electricity companies find them useful because they have helped to **eliminate** disconnections. Customers are not switched off but instead remain attached to the supply, and the meter is set to collect money owed. On the other side the disadvantages include a higher standing charges as well as higher unit costs and automatic disconnection when a customer runs out of money. In general the consumer seems to favour the PPM payment method, with some finding them a good way of managing their debts. Transco, the trading arm of BG, has announced that it wants to make a charge for servicing PPM customers. it is asking for GBt 20, but it seems **likely** that Ofgas will approve a payment of GBt 10 to begin with.

PRODUCT: Nonbank Credit Card Firms (6141); Natural Gas (1312); Natural Gas Processing (1321); Electric Power Generating (4911);
EVENT: **Sales** & Consumption (65); Marketing Procedures (24); Market & Industry News (60);
COUNTRY: United Kingdom (4UK);

13/5/36 (Item 5 from file: 583)
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06662949
Travel agents not to boycott Ryanair
IRELAND: RYANAIR SAVED FROM AGENTS BOYCOTT
Irish Times (IT) 28 Jul 1998 p.15
Language: ENGLISH

The Irish Travel Agents Association (ITAA) has pledged it will not boycott <Irish airline> Ryanair, a move which was deemed anti-competitive by the **Competition** Authority. The agents had hoped to take action to protest against Ryanair cutting the commission it paid to agents **selling tickets** on its behalf from 9% to 7.5%. However, airlines in general are beginning to take similar action so it appears **likely** that agents will in due course levy fees and charge for services supplied to customers when selling airline bookings or holidays.

COMPANY: IRISH TRAVEL AGENTS ASSN; RYANAIR

PRODUCT: Travel Agencies (4721); Lodging & Tourist Services (7010); Air Transportation (4500);
EVENT: National Government Economics (94); Law & Order (98);
COUNTRY: Ireland/Eire (4IRE);

13/5/37 (Item 6 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06544620
Citibank boost for website banking
US: CITIBANK MOVE BOOSTS ON-LINE BANKING
Financial Times (FT) 11 Nov 1997 p.27
Language: ENGLISH

Until the end of October 1997, Citibank, the biggest US bank measured in deposit terms, refused to participate in website banking, deeming internet security as too poor. However, citing the 128-bit encryption developments in browsers made throughout 1997 it reversed this decision, so that its customers can now deal directly from its website. The bank is keen to be there for the 23mn Americans who look at the web every week at least once and to offer them enhanced services. By giving them the **option buying** and **selling** bonds and shares via the web, Citibank is putting itself in direct **competition** with companies such as Charles Schwab and Fidelity Investments who already conduct a considerable amount of business over the web. Web participation should confer a number of advantages on Citibank. Using browser software to send its users rather than upgrading its direct access system further is a much cheaper alternative. Whilst at present its direct access package is limited to seven countries outside the US, by converting the package to the web the service can easily become truly global. Citibank's brand name and reputation could prove a useful tool in what will be a competitive market where branding is **likely** to become increasingly significant.
(c) Financial Times 1997

COMPANY: FIDELITY INVESTMENTS; CHARLES SCHWAB; CITIBANK

PRODUCT: Retail Banking Services (6006); Clearing Banks (6010CB);
Commercial Banks (6020); General Management Services (9916); Computers
& Auxiliary Equip (3573); Communications Equip ex Tel (3662);
EVENT: Planning & Information (22);
COUNTRY: United States (1USA);

13/5/38 (Item 7 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06461728
SHB TROR PA STORRE VINST AV STADSHYPOTEK
SWEDEN: SHB BELIEVES IN RETAINING STADSHYPOTEK
Finanstidningen (XTB) 22 Apr. 1997 p. 11
Language: SWEDISH

The paper states that the leadership within Svenska Handelsbanken, SHB; has changed its strategy regarding a Stadshypotek Bank hive-off. The preferred strategy is now a retention of the businesses within Stadshypotek Bank. Handelsbanken MD Arne MVRTensson states that the Stadshypotek resources are useful in the ongoing restructuring process, and that synergetic profits now are estimated at 1,5 billion SEK (around 0,2 billion US\$) rather than 1,1 billion SEK. Handelsbanken has now attained a 30 percent share of the mortgage loan market in Sweden, but it's viewed as **likely** that this market share might decrease somewhat, when the ongoing restructuring process reaches its conclusion. When it comes to the real estate held by Stadshypotek, MD Arne MVRTensson gives no specific comments to the paper. In the **event** of a favourable bid, **sales** are always an **option**, but at present, he would like to avoid any unnecessary speculation to this end, or any outside speculation about a Handelsbanken entry in Focus in Norway etc.
COMPANY: SHB; SVENSKA HANDELSBANKEN; STADSHYPOTEK; STADSHYPOTEK BANK;
FOCUS; HANDELSBANKEN

PRODUCT: Retail Banking Services (6006); Mortgage Bankers & Brokers (6160); Private Debt (E5650); Clearing Banks (6010CB); Commercial Banks (6020); Financial Services (6000);
EVENT: Companies Activities (10); Company Acquisitions (16); Planning & Information (22);
COUNTRY: Norway (5NOR);

13/5/39 (Item 8 from file: 583)
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06427153
Post-election tax rises ' **likely** '
UK: INCREASED TAXES PREDICTED
Financial Times (FT) 06 Feb 1997 p.10
Language: ENGLISH

Greater demand on health and education budgets and the inability of the current tax system to deliver revenues to meet such demand will lead to increased taxes, regardless of which political party **wins** the general election, predicts Nottingham University's Professor Norman Gemmell. **Options** offered by Professor Gemmell, in a Social Market Foundation pamphlet, included government-household accounts and partial user charges as opposed to fixed fees. According to Professor Gemmell, the tax system does not automatically deliver increased revenue in boom times due to the fact that it is based on indirect rather than direct taxation, while increasing

age of the population and the higher numbers of people going to college/university are straining budgets.
(c) Financial Times 1997

COMPANY: SOCIAL MARKET FOUNDATION
PRODUCT: Justice & Safety (9101); Colleges & Universities (8220); Health, Education & Welfare (8000);
EVENT: Sales & Consumption (65); Government Activities (90);
COUNTRY: United Kingdom (4UK);

13/5/40 (Item 9 from file: 583)

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06344984
FRAMTIDENS FLYG BLIR BILLIGARE
SWEDEN: SAS DIRECTOR ON FUTURE PLANS
G6teborgs Posten (XTF) 29 July 1996 p. 14
Language: SWEDISH

MD Jan Stenberg of SAS (Scandinavian Airlines System) has been interviewed by the paper on the future of the Nordic airline. He points out that the co-operation with Lufthansa and United Airways will have strategic importance for many years to come, and that the experiences gained so far are very positive, as the traffic volume has grown with around 10 percent on average, and around 17 percent for certain flight routes. According to Mr. Stenberg, this has also meant that SAS has gained market shares from its competitors. On the Swedish market, SAS is expected to see more **competition** from Braathens SAFE and Transwede. SAS will reorganise its Swedish flights into a commuter company, based at Stockholm, and improve punctuality on Swedish destinations. "Electronic **tickets**", also available for **purchase** via the Internet, will become introduced in the future, and short haul flights under two hours duration will become a more frequent service in tomorrow's society, Mr. Stenberg muses. On international routes, SAS will mainly be an airline for business travellers, despite the fact that some 87 percent of passengers across the Atlantic today use some kind of discount offers. Aeroplane acquisition and investment decisions remain to be made in 1997, but as for the 1996 result, Mr. Stenberg point out that 1996 will be "a tough year" and that the 1996 result **won't likely** mean a repetition of the record-high 2,5 billion SEK (around 383 million US\$) figures from 1995.

COMPANY: UNITED AIRWAYS; LUFTHANSA; SCANDINAVIAN AIRLINES SYSTEM;
TRANSWEDE; BRAATHENS SAFE; SAS

PRODUCT: Passenger Air Transport (4501); Scheduled Airlines (4510);
EVENT: Planning & Information (22); Company Reports & Accounts (83);
Company Formation (14); Marketing Procedures (24);
COUNTRY: General Worldwide (0W); Scandinavia (5SC);

13/5/41 (Item 10 from file: 583)

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06279955
'Ticketless' scams hit airlines for t650m
WORLD: ELECTRONIC TICKETING SUBJECT TO FRAUD
Observer (ZCR) 10 Mar 1996 p.Business 4
Language: ENGLISH

Fraud within airline electronic ticketing/reservation systems and the Internet is amounting to some Gbt 650mn/yr in lost business and is **likely** to increase, according to fraud investigators. The investigators claim that many airlines now **sell tickets** electronically, and are failing to take note of increasing fraudulent **activity** within this media.

COMPANY: INTERNET

PRODUCT: Passenger Air Transport (4501); Scheduled Airlines (4510);
Computers & Auxiliary Equip (3573); Communications Eqp ex Tel (3662);
EVENT: Workers by Type (56);
COUNTRY: General Worldwide (0W);

13/5/42 (Item 11 from file: 583)
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06223812
Xmas Teletext link for scratchcard firm
UK: GB# 10MN ADVERTISING FOR LUCKY LOTTO
Off Licence News (OLN) 02 Nov 1995 p.6
Language: ENGLISH

A GB# 10mn advertising campaign, to include national press and television, is to be launched by UK Charity Lotteries for its scratchcard **game**, Lucky Lotto. The company has been able to carry out the advertising due to the rapidly increasing **sales** of its scratchcards, a phenomenon it attributes to the launch of the National Lottery Instant scratchcards. Under the campaign, those **purchasing a ticket** which does not **win** will be able to enter a draw for another **chance**, with the winner to be announced on ITV's Teletext service on Christmas Day.

COMPANY: UK CHARITY LOTTERIES

EVENT: Marketing Procedures (24);
COUNTRY: United Kingdom (4UK);

13/5/43 (Item 12 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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06129245
More than half of UK adults play lottery every week
UK: LOTTERY INCREASES RETAILERS **SALES**
Financial Times (FT) 21 Mar 1995 p.1
Language: ENGLISH

Research into the UK National Lottery by the Henley Centre and the GAH Partnership has revealed that retailers who **sell tickets** are experiencing a rush of **sales** on Fridays and Saturdays particularly in the area of the lottery till; a fact that should lead to redesigns in shop layouts. Overall these shops have gained an extra 20% in **sales**. According to early forecasts 10-12mn extra shopping trips have occurred as a result of the lottery since it began in November 1994. From this food stores have gained an average extra 5,000 customers per week and newsagents and convenience stores an extra 2,700. The research, which comes as lottery organiser Camelot starts the new instant **win** scratch cards, also revealed

that 81% of UK 25-34 year olds play the lottery while the 81% figure is for the overall population in Scotland alone. The typical lottery player is **likely** to be a reader of a tabloid newspaper who watches above the average amount of television and earns a fairly low income. It is estimated that the lottery will gain revenue of GB# 3bn in its first year.
(c) Financial Times 1995

COMPANY: GAH PARTNERSHIP; HENLEY CENTRE

PRODUCT: Retail Trade (5200);

EVENT: Research & Development **Activity** (45); Sales & Consumption (65);
Marketing Procedures (24);

COUNTRY: United Kingdom (4UK);

13/5/44 (Item 13 from file: 583)

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06089539

Deutsche Aerospace's Dornier Unit **Wins** Key Order From U.S. Airline

US: JETSTREAM ORDERS 20 DORNIER TURBOPROPS

Wall Street Journal Europe (WSJ) 14 Dec 1994 p.5

Language: ENGLISH

Jetstream International Airlines, part of USAir, has ordered 20 Dornier 328 32-seat turboprops from Deutsche Aerospace and has an **option** for 20 more. The aircraft, which cost USD 9mn each but which Deutsche Aerospace will **sell** for much less due to **competition**, will be used for commuter services from Pittsburgh. With 72 orders and 71 **options** for the 328 from 16 customers, Deutsche Aerospace is close to establishing a solid niche for the aircraft, raising the **chances** for future **sales**.

COMPANY: DEUTSCHE AEROSPACE; USAIR; JETSTREAM INTL AIRLINES

PRODUCT: Passenger Air Transport (4501); Scheduled Airlines (4510); Civil Aircraft (3721CI);

EVENT: Plant/Facilities/Equipment (44); Capital Expenditure (43); Use of Materials & Supplies (46); Contracts & Orders (61);

COUNTRY: Germany (4GER); United States (1USA);

13/5/45 (Item 14 from file: 583)

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06070845

Post **defeat** halts Tory **sell** -offs

UK: ROYAL MAIL PRIVATISATION ABANDONED

The Independent (TI) 04 Nov 1994 p.1

Language: ENGLISH

Faced with opposition within its own ranks, the UK government has dropped its plans to privatise Royal Mail. All three Green Paper **options** were rejected and while a review of how to allow Royal Mail to meet **competition** will be carried out, no legislation will be passed before the next election. Post Office chairman, Michael Heron, says the government has left it with a 'crippling **uncertainty**', while chief executive, Bill Cockburn, says commercial freedom must be allowed if the Royal Mail is to survive. Chancellor of the Exchequer, Kenneth Clarke, is refusing to remove all

control over the Royal Mail.

COMPANY: ROYAL MAIL

PRODUCT: Public Mail & Express Services (4311); Economic Programmes (9108);

EVENT: Company/Organisational History (12);

COUNTRY: United Kingdom (4UK);

13/5/46 (Item 15 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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05334257

Collor crisis **likely** to slow debt accord

BRAZIL - DEBT AGREEMENT TO BE DELAYED BY COLLOR SCANDAL
Financial Times (C) 1992 (FT) 23 September 1992 p3

THE Brazilian government has completed negotiations over a detailed debt restructuring agreement with leading creditor banks in the face of the corruption scandal surrounding President Fernando Collor. The agreement, now being examined by lawyers from both sides, will have to be approved by the Brazilian Senate before it goes to all lending banks for **final** approval. An agreement in principle was reached on July 9. However, it is **likely** to be well into next year before the accord, which allows banks six **options** in the restructuring of Dollars 44bn (Pounds 24.8bn) of medium-term debt, is finally completed. Brazilian officials were quoted by bankers yesterday as saying it would take 6-8 weeks to **win** Senate approval, and the **final** completion might not take place until next year's third quarter.**

Copyright: Financial Times Ltd 1992

PRODUCT: Intnl Financial **Transactions** (E5700);
EVENT: INTERNATIONAL GOVT REVENUES/LOANS RECEIVED (95);
COUNTRY: Brazil (3BRA);

13/5/47 (Item 16 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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05282575

Dream **ticket**

UK - CUSTOMERS AT 1,700 BASS TAVERNS OFFERED **CHANCE TO WIN TRIPS**
Morning Advertiser (MA) 28 August 1992 p3

Bass Taverns is offering customers at its 1,700 outlets in Wales and England the opportunity to **win** trips to **events** of their choice. Draws are to be held over a five week period for free pints of Carling Black Label. Three winners will then be selected by a **final** draw to receive **tickets** to the **sporting** event of their choice.*

COMPANY: BASS TAVERNS

PRODUCT: Licensed Houses & Pubs (5813LH); Legal Gambling (7760);
EVENT: MARKET & INDUSTRY NEWS (60); MARKETING PROCEDURES - **SALES**
PROMOTION (24);
COUNTRY: United Kingdom (4UK); OECD Europe (415); European Economic Community Countries (419); NATO Countries (420); South East Asia Treaty

Organisation (913);

13/5/48 (Item 17 from file: 583)

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05204763

Radical changes to savings seen

WORLD - RADICAL CHANGES TO SAVINGS SEEN

Financial Times (C) 1992 (FT) 20 July 1992 p7

DRAMATIC changes in the pattern of consumption and savings in economies across the world in the rest of the 1990s will be followed by a radical restructuring of financial institutions, a report published today predicts. Mr John Ginarlis of PA Consulting Group says that forms of investment based on borrowing such as home-buying may soon no longer be regarded as worthwhile **options** for investors. House- **buying** will return to being a consumer **activity** from which no long-term return is expected. Low inflation, low monetary growth and high real interest rates have turned off the supply of cheap money that enabled the housing market to expand. The level of personal savings will rise, partly because of the increasing average age of the population. The process is expected to accelerate as governments introduce tax regimes that erode concessions for borrowing, such as mortgage interest relief in the UK, and introduce incentives for savers. Mr Ginarlis predicts that financial institutions such as banks and building societies which until now have been primarily lenders will become mainly savings-industry specialists. Investment advisory services which until now have been available only to the high net-worth individual will be developed for a broader market. Sophisticated deposit schemes that offer the customer a **guaranteed** rate of return using money-market techniques such as hedging will appear. Financial-services companies will have to reduce their cost bases, including their branch networks, and try instead to improve their productivity. Mr Ginarlis warns that financial-services companies that treat recent changes as merely a cyclical downturn in the market run the danger of discovering too late that a rising cost base has priced them out of existence. Saving Housing, by John Ginarlis. PA Consulting Group, 123 Buckingham Palace Road, London SW1W 9SR. Pounds 1,025.**

Copyright: Financial Times Ltd 1992

PRODUCT: Mortgage Bankers & Brokers (6160);

EVENT: MARKET & INDUSTRY NEWS (60);

COUNTRY: Earth - Planet (0W);

13/5/49 (Item 18 from file: 583)

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04428364

KOITO BEWILDERED BY SWEDISH INVESTMENT

JAPAN - KOITO BEWILDERED BY SWEDISH INVESTMENT

Financial Times (C) 1991 (FT) 2 August 1991 p20

Koito Manufacturing (Japan): having seen Mr T Boone Pickens, the corporate raider, ride into the sunset, Koito Manufacturing, the Japanese car parts maker was surprised to discover that a Swedish investment company is **likely** to become its largest shareholder. Azabu Building, a Japanese property developer, confirmed yesterday (01.08.91) that it had agreed to **sell** its 33 per cent stake in Koito, Japan's largest maker of car lights,

to Carlson Investment Management for an estimated Y115bn (USD1r836m). Azabu has accumulated Koito shares in the apparent hope that it would prompt Toyota or Koito to repurchase them at a profit to Azabu. After the greenmail attempt failed, Mr Kitaro Watanabe, a Japanese stock investor and land developer who runs the Azabu group, provided Mr Pickens with the Y143.1bn needed to **buy** the 26.4 per cent stake in March 1989. The Texan unsuccessfully attempted to **win** seats on the Koito board and ended in June transferring the shares back to Mr Watanabe. Koito, in which Toyota has a 19 per cent stake was bewildered yesterday by the latest moves. Carlson is an independent Swedish finance company. Its proposed SKr 5.5bn (USD1r873m) **purchase** of the Azabu stake in Koito will be its biggest single portfolio investment. The company is involved in equity, bond and currency investments, **options** and futures. (Abstract)**
Copyright: Financial Times Ltd 1991

PRODUCT: Vehicular Lighting Equip (3647);
EVENT: COMPANIES **ACTIVITIES** (10);
COUNTRY: Japan (9JPN); OECD Pacific (915);

13/5/50 (Item 19 from file: 583)

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03080015

IMPERIAL HAS AMENDED TAKEOVER PLAN FOR TEXACO

CANADA - IMPERIAL HAS AMENDED TAKEOVER PLAN FOR TEXACO
Canadian Globe & Mail (CGM) 29 November 1989

Texaco Canada's takeover by Imperial Oil will be amended, according to a plan that was filed, on 28 November 1989, with the **Competition** Tribunal. This amended plan says that Imperai will give **guarantees** for gasoline sales to Central Canadian independent stations and that Imperial will sell 77 Atlantic province gasoline stations vs the original 74. The **option** of **buying** Newfoundland's Texaco Long Pond Terminal or of having access that is **guaranteed** to St John's Imperial terminal is also given to the buyer of the Atlantic assets. Imperial will sell 614 stations across Canada vs the 611 originally suggested.

PRODUCT: Garages & Filling Stations (5541);
EVENT: COMPANIES ACTIVITIES (10);
COUNTRY: Canada (2CAN); NATO Countries (420);

13/5/51 (Item 20 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)
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02480051

ASIANA PLANS FLEET EXPANSION

S KOREA - ASIANA PLANS FLEET EXPANSION
Far East Economic Review (FEER) 2 February 1989 p57
ISSN: 0014-7591

Asiana (S Korea) is planning to increase its fleet from six aircraft to 31, linked with creation of new cargo services, as part of a USD1r1 bil expansion plan. At present Asiana operates services to Pusan and Seoul, but there are plans to operate services to Japan by late-1989. Asiana is planning to become a leading international airline by the mid-1990s. The airline's fleet includes six leased B737-400s, not including two due for

delivery in April of 1989, two B767s to be **purchased** in mid-1990 with **options** for a further two in 1991. Asiana is **likely** to face **competition** from Korean Air, second biggest airline in Asia, behind Japan Air Lines. KAL previously enjoyed a monopoly in S Korean air services.

PRODUCT: General Aviation Aircraft (3721GA);
EVENT: COMPANIES ACTIVITIES (10);
(9SOK);

13/5/52 (Item 21 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
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01502278
GUINNESS **WINS** APPROVAL FOR SHARE **BUY** -BACK
UK - GUINNESS **WINS** APPROVAL FOR SHARE **BUY** -BACK
Morning Advertiser (MA) 17 December 1987 p20

Guinness has **won** shareholder approval to **buy** back 10% of its shares. The chairman said that because strong cashflow in future is **likely** to be greater than the amount needed for investment, the stock market **purchase** is one **option** open for future investment.*

PRODUCT: Beer & Other Malt Beverages (2082);
EVENT: COMPANIES **ACTIVITIES** (10);
COUNTRY: United Kingdom (4UK); OECD Europe (415); NATO Countries (420);
South East Asia Treaty Organisation (913);

13/5/53 (Item 1 from file: 256)
DIALOG(R)File 256:SoftBase:Reviews,Companies&Prods.
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00115123 DOCUMENT TYPE: Review

PRODUCT NAMES: Microsoft Windows 98 Service Pack 1 Beta 2 (691887)

TITLE: Win 98 to get networking upgrade
AUTHOR: Spooner, John G
SOURCE: PC Week, v16 n11 p39(1) Mar 15, 1999
ISSN: 0740-1604

RECORD TYPE: Review
REVIEW TYPE: Product Analysis
GRADE: Product Analysis, No Rating

Microsoft will update Windows 98 with added networking features and support for peripherals. Microsoft recently released the second beta of Microsoft Windows 98 Service Pack One and OEM Service Release One, which is now called Service Release One. It is available to Windows 98 beta testers. Microsoft indicates that the most recent edition of the Service Release provides new features that include Internet Explorer 5.0, which should ship by the end of the third week of March 1999. The date of the **final** release of the update, however, has not been announced. Unnamed sources expected availability in 1Q99, but release now seems to have slipped to the second quarter. It wants to provide both the complete Service Release and its parts, so that users can download needed components individually. Microsoft is looking into **options** that allow users to download with one click or to choose among available features. Many updates and bug fixes can be

downloaded, but a full complement of the new features now in Beta 2 will most **likely** only be available with the **purchase** of a new PC. Some hardware enhancements require new hardware, says a Microsoft spokesperson. One new feature that IT managers will welcome is Wake on LAN, which permits network managers to boot PCs from a remote location.

COMPANY NAME: Microsoft Corp (112127)
DESCRIPTORS: IBM PC & Compatibles; Network Software; Operating Systems;
Windows
REVISION DATE: 19990430

13/5/54 (Item 1 from file: 139)
DIALOG(R)File 139:EconLit
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454165

TITLE: Bargaining and Search with Incomplete Information about Outside Options

AUTHOR(S): Chatterjee, Kalyan; Lee, Ching Chyi
AUTHOR(S) AFFILIATION: PA State U; Chinese U Hong Kong
JOURNAL NAME: Games and Economic Behavior,
JOURNAL VOLUME & ISSUE: 22 2,
PAGES: 203-37
PUBLICATION DATE: February 1998
ISSN: 0899-8256

DOCUMENT TYPE: Journal Article

ABSTRACT INDICATOR: Abstract

ABSTRACT: This paper considers a model of bargaining in which the seller makes offers and the buyer can search (at a cost) for an outside option; the outside option cannot be credibly communicated, and the seller's offer is recallable by the buyer for one period. There are essentially two equilibrium regimes. For sufficiently high search cost, the **game** ends immediately; otherwise the search occurs in equilibrium. Compared to the case where the **buyer** can communicate his outside **option**, the **seller** is worse off, and the **game** results in search for a smaller set of values of the search cost, i.e., less equilibrium delay. c 1998 Academic Press

DESCRIPTOR(S) (1991 to Present): Noncooperative Games (C720); Bargaining Theory; Matching Theory (C780); Search, Learning, and Information (D830)

DESCRIPTOR(S) (Pre-1991): Game Theory and Bargaining Theory (0262); Theory of **Uncertainty** and Information (0261)

COMPANY NAMES (DIALOG GENERATED): 1998 Academic Press

13/5/55 (Item 2 from file: 139)
DIALOG(R)File 139:EconLit
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327822

TITLE: Two Cheers for Touts

AUTHOR(S): Thiel, Stuart E.
AUTHOR(S) AFFILIATION: U MI
JOURNAL NAME: Scottish Journal of Political Economy,
JOURNAL VOLUME & ISSUE: 40 4,
PAGES: 447-55
PUBLICATION DATE: November 1993
ISSN: 0036-9292
DOCUMENT TYPE: Journal Article

ABSTRACT INDICATOR: Abstract

ABSTRACT: A common belief among economists is that the **activity** of touts (scalpers) is unambiguously beneficial to the market. This note shows that that intuition is in general incorrect. Touts' presence in the market dilutes any individual's **chance** of obtaining a **ticket** in the original distribution. It is shown that if **transactions** costs cannot be pushed high enough to **eliminate** the resale market, the best policy is to leave touts alone. However, when possible, killing the resale market unambiguously benefits many **ticket buyers**, including some of the touts and their clients.

DESCRIPTOR(S) (1991 to Present): Entertainment; Media (performing arts, visual arts broadcasting, publishing, etc.) (L820); Business Economics (M210)

DESCRIPTOR(S) (Pre-1991): Industry Studies--Services--Entertainment, Recreation, Tourism (6358); Industry Studies--Electrical, Gas, Communication, and Information Services (6352); Managerial Economics (5120)

13/5/56 (Item 3 from file: 139)

DIALOG(R) File 139:EconLit

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305352

TITLE: Non-Cooperative Bargaining and Spatial Competition

AUTHOR(S): Bester, Helmut

AUTHOR(S) AFFILIATION: U Bonn

PUBLICATION INFORMATION: Universitat Bonn Sonderforschungsbereich 303 - Discussion Paper: A-73 PAGES: 21

PUBLICATION DATE: August 1986

AVAILABILITY: Copies available from: Sonderforschungsbereich 303 an der Universitat Bonn, Adenauerallee 24-42, D-5300 Bonn 1, Germany

PRICE: No Charge

DOCUMENT TYPE: Working Paper

ABSTRACT INDICATOR: Abstract

ABSTRACT: The paper presents a bargaining approach to spatial competition. Sellers compete by choosing locations in a market region. Consumers face a cost to moving from one place to another. The price of the good is determined as the perfect equilibrium of a bargaining **game** between seller and buyer. In this **game**, the consumer has the outside **option** to move to another **seller** so that prices at all stores are interdependent. Existence of a location - price equilibrium is established. The outcome approaches the perfectly competitive one if the consumer's cost of travelling become negligible or if the number of sellers tends to infinity.

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DESCRIPTOR(S) (Pre-1991): Economics of **Uncertainty** and Information; Game Theory and Bargaining Theory: General (0260); Market Structure: Industrial Organization and Corporate Strategy (6110); Microeconomic Theory--General (0220)

13/5/57 (Item 4 from file: 139)

DIALOG(R) File 139:EconLit

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267234

TITLE: Political Risk and Market Efficiency: Tests Based in British Stock

and Options Markets in the 1987 Election

AUTHOR(S): Gemmill, Gordon

AUTHOR(S) AFFILIATION: City U Business School, London

JOURNAL NAME: Journal of Banking and Finance,

JOURNAL VOLUME & ISSUE: 16 1,

PAGES: 211-31

PUBLICATION DATE: February 1992

ISSN: 0378-4266

DOCUMENT TYPE: Journal Article

ABSTRACT INDICATOR: Abstract

ABSTRACT: This paper examines the behavior of the stock and options markets in London during the 1987 election. The author finds an extremely close relationship between opinion polls and the FTSE 100 Index of share prices. However, in the last week of the election the **options** prices showed evidence of gross inefficiency: they implied a decreasing **probability** of a Conservative **win** while the polls indicated the opposite. The inefficiency was sufficiently large for a volatility arbitrage to be feasible, net of **transactions** costs. These two tests appear to indicate that a speculative bubble was present in the **options**.

GEOGRAPHIC LOCATION DESCRIPTOR(S): U.K.

DESCRIPTOR(S) (1991 to Present): Information and Market Efficiency; **Event** Studies (G140); Asset Pricing (G120); Contingent Pricing; Futures Pricing; **option** pricing (G130)

DESCRIPTOR(S) (Pre-1991): Capital Markets--Empirical Studies, Including Regulation (3132); Capital Markets: Theory, Including Portfolio Selection, and Empirical Studies Illustrating Theory (3131)